

# Quantum Cryptanalysis in the RAM Model: Claw-Finding Attacks on SIKE

Samuel Jaques and John M. Schanck

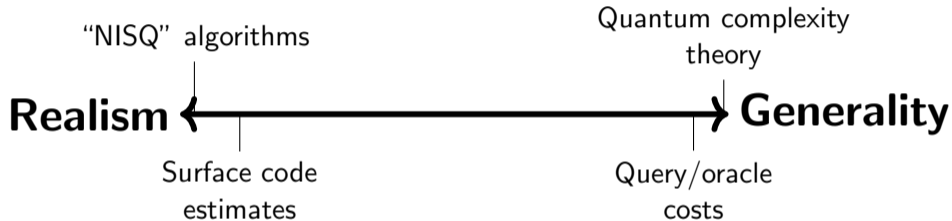


# Models of quantum computers

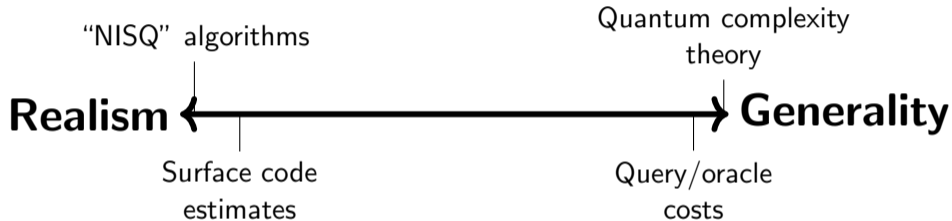
- NIST is working on post-quantum public key standards
- This requires **quantum cryptanalysis**
- This requires models of quantum computers

How do you imagine a quantum computer?

# Quantum cost analysis

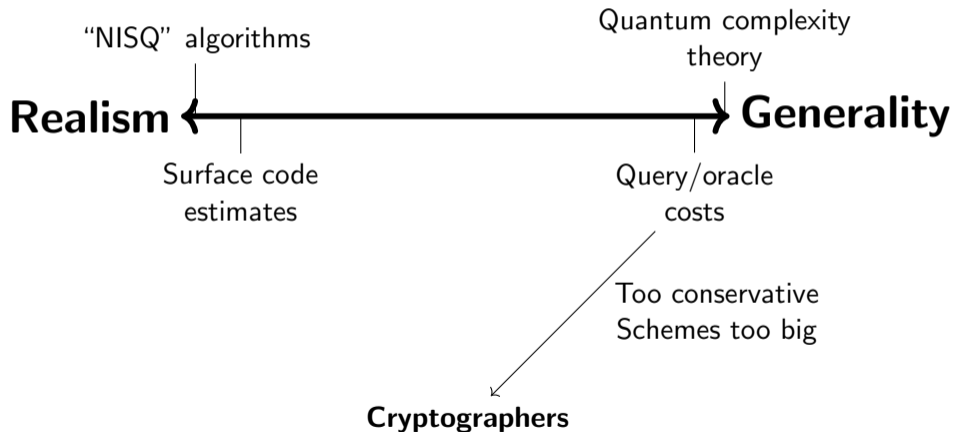


# Quantum cost analysis

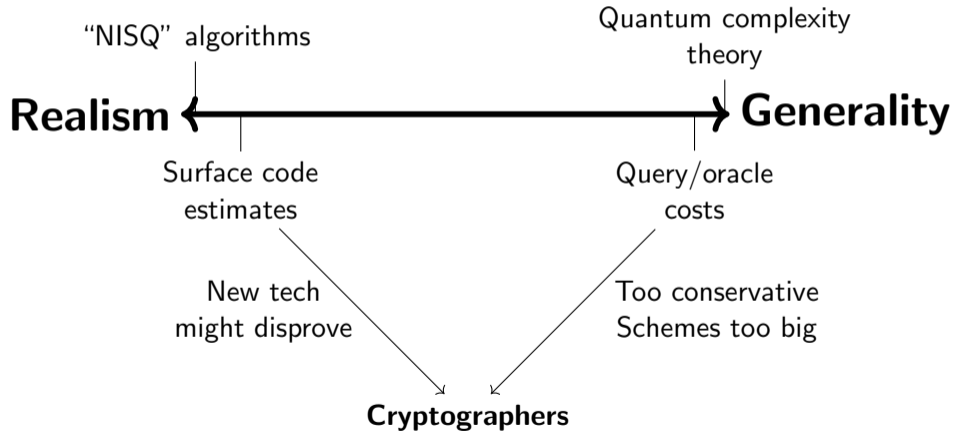


**Cryptographers**

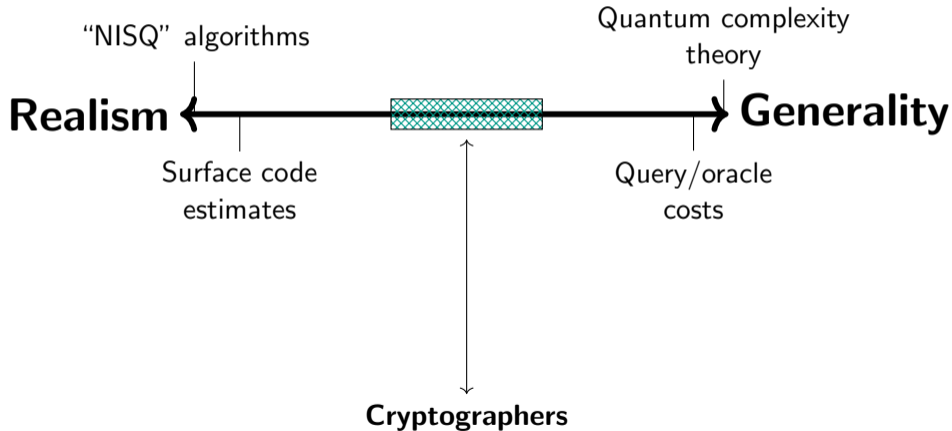
# Quantum cost analysis



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# Quantum cost analysis



# Outline

- 1 Motivation
- 2 Memory peripheral framework
- 3 Cost models
- 4 Analysis of SIKE
- 5 Summary



## Goal 1: Fairly compare classical and quantum resources

How do we compare a quantum bit of security to a classical bit of security?

How do we cost mixed classical/quantum algorithms like Kuperberg's sieve?

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### Previous work: Analysis of Brassard-Høyer-Tapp (BHT)

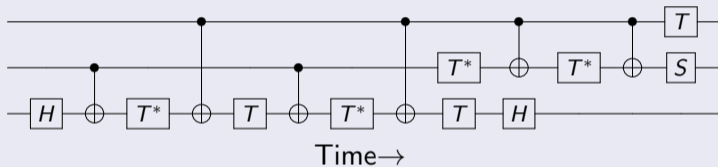
- BHT provided a quantum collision-finding algorithm with quantum access to classical memory.
- Bernstein argued van Oorschot-Wiener is more efficient after fully accounting for memory costs.

Brassard, Høyer, Tapp. 1997. Quantum Algorithm for the Collision Problem

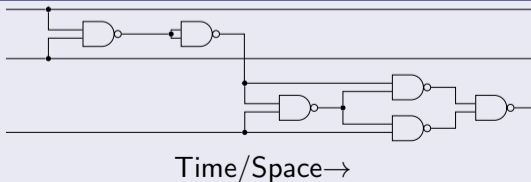
Bernstein. 2009. Cost analysis of hash collisions: Will quantum computers make SHARCS obsolete?

# Goal 2: View gates as processes

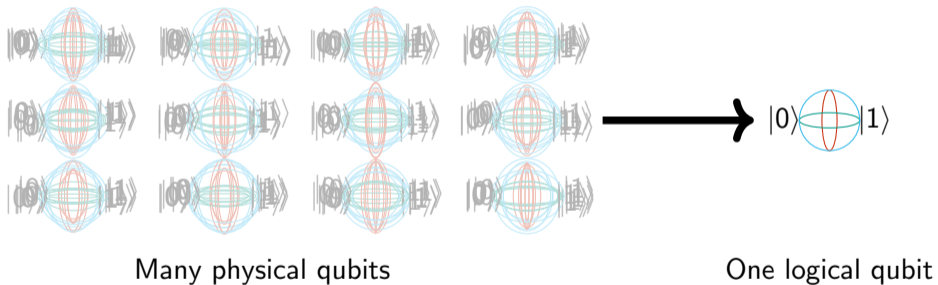
## Quantum



## Classical



# Goal 3: Include error correction



# Memory peripheral framework

## Main Idea

Model computation as “memory” acted on by a “memory controller”.

Examples:

- Turing machine: head + tape
- RAM: CPU + random access memory
- Quantum circuit: Random access machine + qubits

Premises:

- 1 **Memory** is a physical system that changes over time
- 2 A **memory controller** interacts with a memory
- 3 The **cost** of a computation is the number of interactions

# Premise 1: Memory is a physical system

## Free evolution

Caused by:

- Noise
- Ballistic computation

## Costly evolution

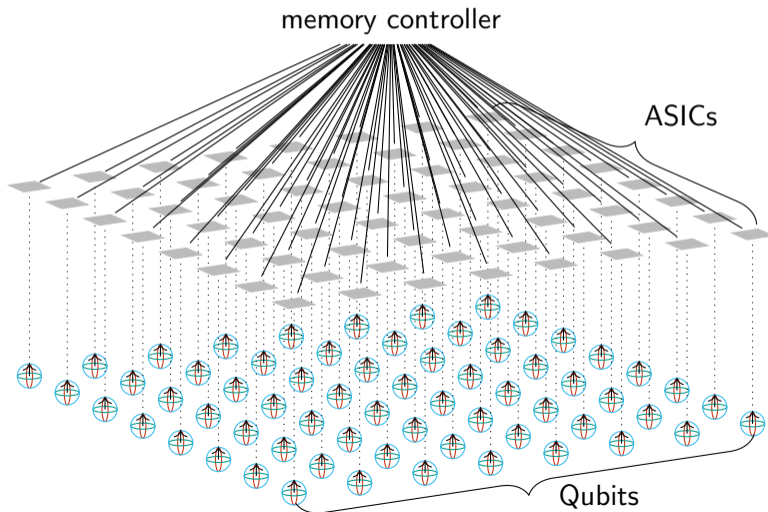
Caused by the controller.

We model a quantum computer as a **parallel random access machine** with new instructions for quantum gates

- e.g.: apply gate  $x$  to qubit  $y$  at time  $t$

Result: quantum algorithms are classical programs

# Premise 2: Memory controller



## Premise 3: Cost

The **cost** of a computation is the number of interactions.

- We ignore the construction cost
- We focus on the cost to the controller

There are opportunity costs: What else could the controller do?



## Cost models

We provide physical justifications for two cost models: **G-cost** and **DW-cost**.

Both are qubit memories with a standard universal gate set (Clifford + T).

Differences:

- *G*-cost: **Passive** error correction.
- *DW*-cost: **Active** error correction.

# Error correction

## Passive/Non-volatile memory

To preserve: keep cool.

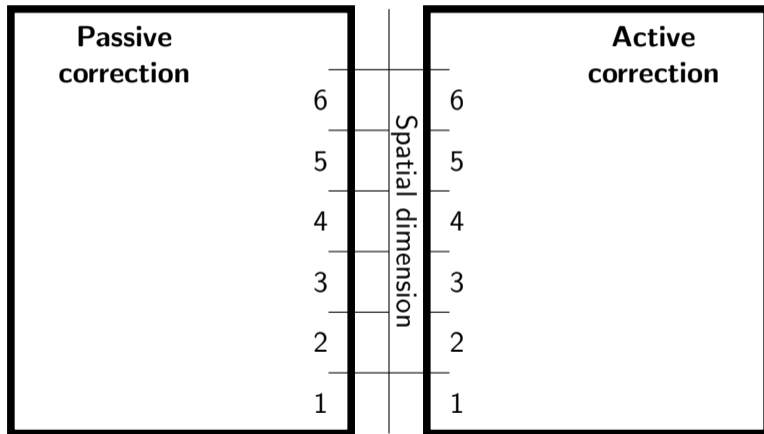
- Paper
- Magnetic discs

## Active/Volatile memory

To preserve: continuously refresh.

- DRAM
- Surface codes (quantum)

# Quantum error correction theory

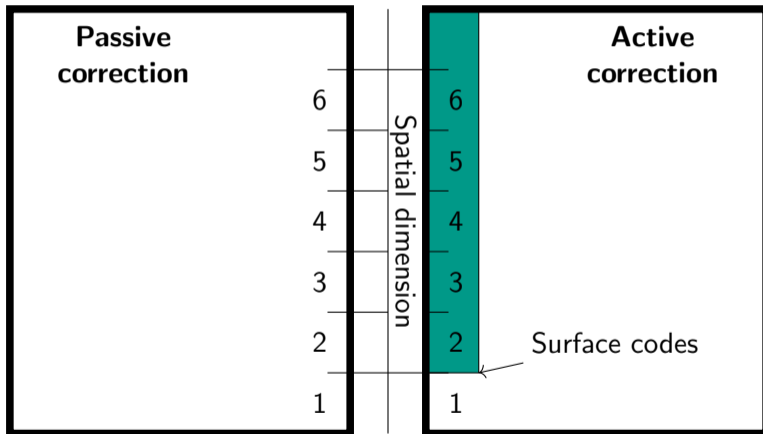


[1] Bravyi and Terhal. 2009. A no-go theorem for a two-dimensional self-correcting quantum memory based on stabilizer codes.

[2] Kitaev. 2003. Fault-tolerant quantum computation by anyons.

Dennis, Kitaev, Landahl, Preskill. 2002. Topological Quantum Memory.

# Quantum error correction theory

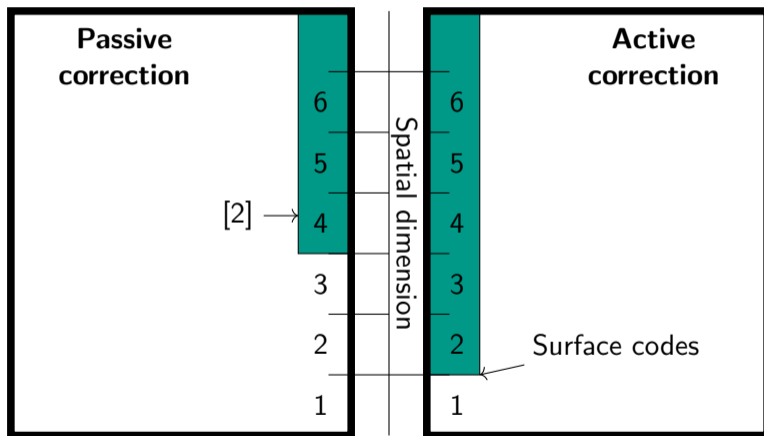


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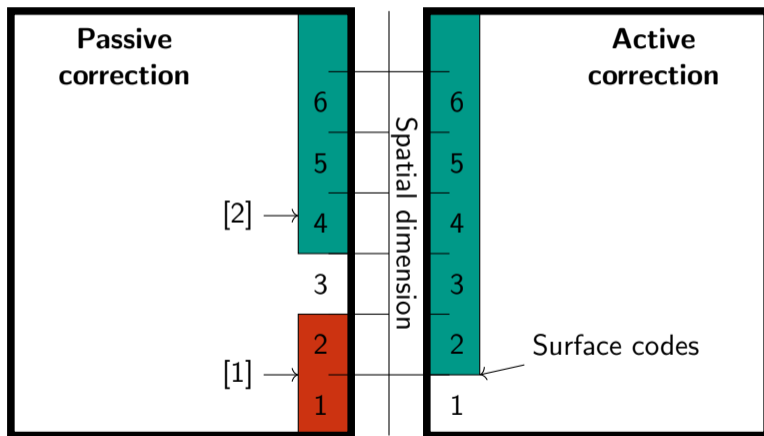


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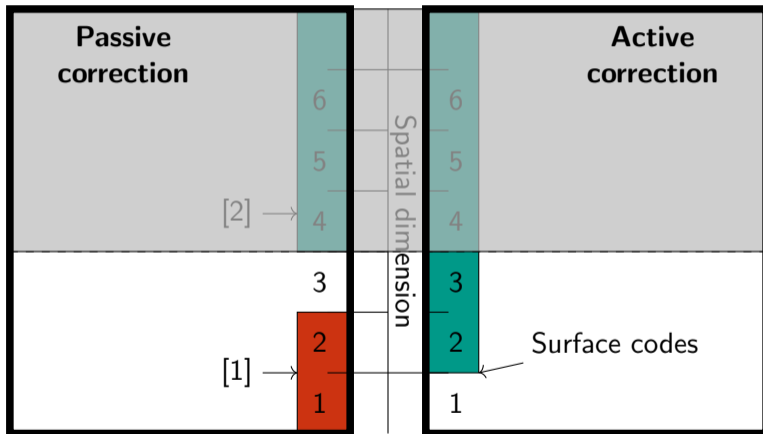


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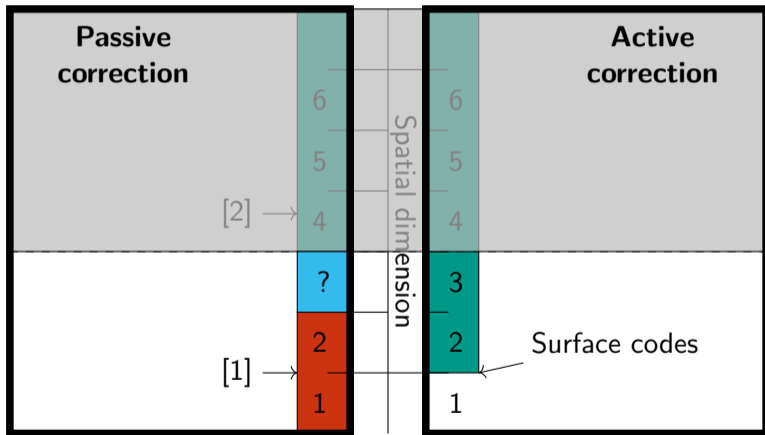
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# Costs

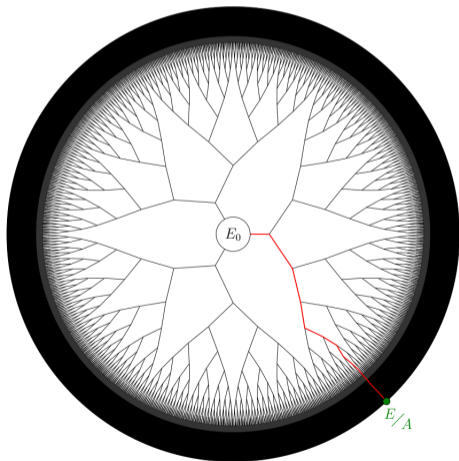
## *G*-cost

- Assumption: **Passive** error correction.  
(Physical, not just technological, assumption)
- Cost: 1 RAM operation per gate
- Total cost: Number of gates ("*G*")

## *DW*-cost

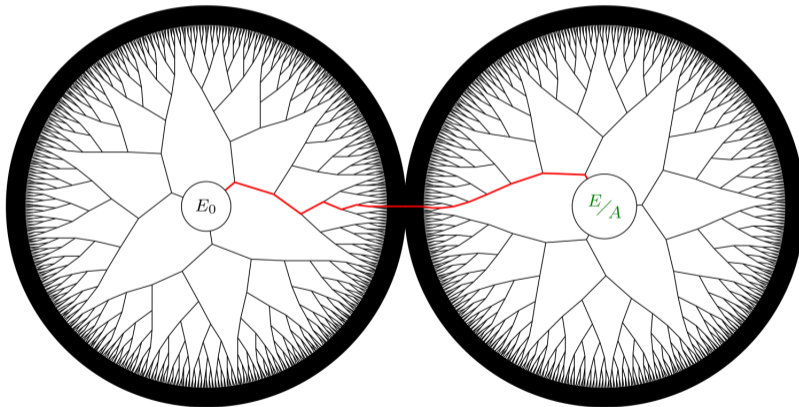
- Assumption: **Active** error correction.
- Cost: 1 RAM operation per qubit per time step
- Total cost: Depth × Width ("*DW*")

# Analysis of SIKE



- $E_0$  is public parameter,  $E/A$  is public key
- Parameterized by a large prime  $p$  (e.g.  $p \approx 2^{434}$ )
- Red path is secret key (length  $\log p/2$ )

# Meet-in-the-middle



# Tani's collision-finding algorithm

To find a collision between two functions  $f : X \rightarrow S$  and  $g : Y \rightarrow S$ :

- Random walk on two Johnson graphs: one over  $X$ , the other over  $Y$
- Check for collisions at each step
- Make it quantum!

## Johnson graph over $X$

Vertices:  $R$ -element subsets of a fixed set  $X$ .

Vertices  $u$  and  $v$  are adjacent iff  $|u \cap v| = R - 1$ .

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Query-optimal parameters:

$$R = \# \text{ queries} = \text{time}$$

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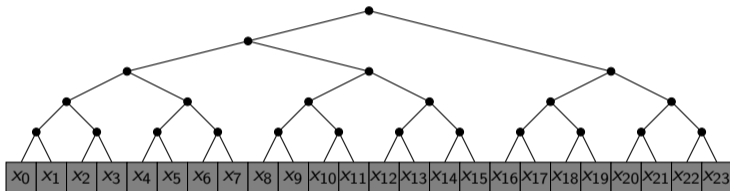
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Vertices  $u$  and  $v$  are adjacent iff  $|u \cap v| = R - 1$ .

Query-optimal parameters to attack SIKE:

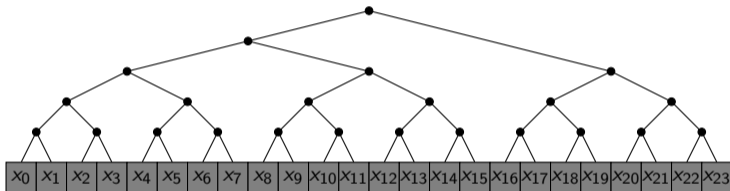
$$R = \# \text{ queries} = \text{time} = p^{1/6+o(1)}$$

# Memory access



# Memory access

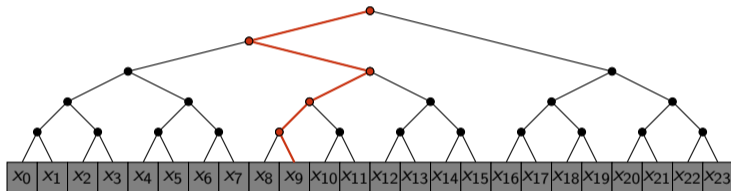
Classical Query: 9





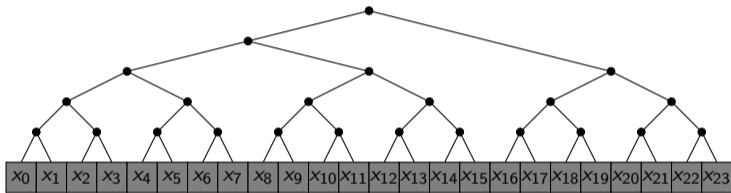
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Classical Query: 9



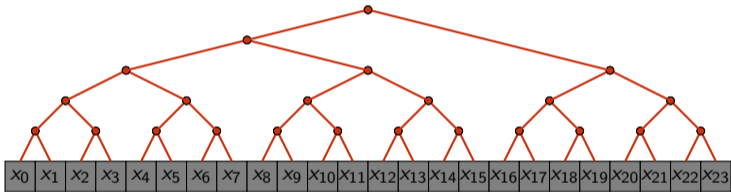
# Memory access

Quantum Query: **0011111100111111110011111100111111**



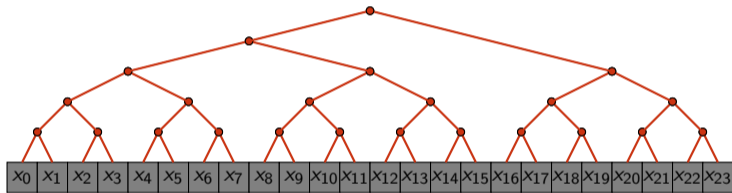
# Memory access

Quantum Query: ○●○○○○○●



# Memory access

Quantum Query: 011111111111111111111111



## Analogy for Cryptographers

- Any physical “side channel” leaks information
- Any leaked information decoheres (destroys) the state
- Controller must implement circuits for all possible inputs

# Memory costs

For  $N$  bits of random-access quantum memory:

## Idle memory

- $G$ -cost: Free
- $DW$ -cost:  $O(N)$  RAM ops per time step

## Random access

- $G$ -cost:  $O(N)$  RAM ops
- $DW$ -cost:  $O(N \log N)$  RAM ops

# Johnson vertex data structure

## History independence

For quantum interference between random walk paths, the representation of a vertex must be independent of the path taken.

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History-dependent:

- Binary tree as linked list

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For quantum interference between random walk paths, the representation of a vertex must be independent of the path taken.

History-dependent:

- Binary tree as linked list

History-independent:

- Quantum radix tree: superposition over all layouts
- Sorted array: physically in order



## Johnson vertex insertion

Idea: We already pay  $O(N)$  for memory access, so pay  $O(N)$  to physically sort array:

$A'$  :

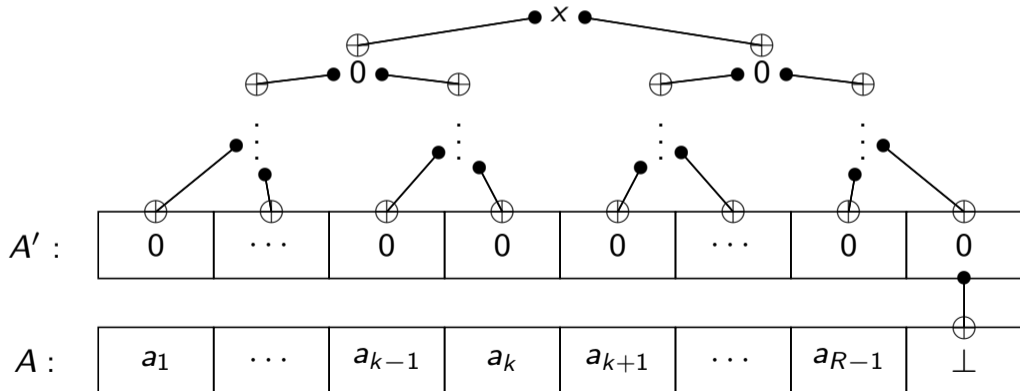
|   |     |   |   |   |     |   |   |
|---|-----|---|---|---|-----|---|---|
| 0 | ... | 0 | 0 | 0 | ... | 0 | 0 |
|---|-----|---|---|---|-----|---|---|

$A$  :

|       |     |           |       |           |     |           |         |
|-------|-----|-----------|-------|-----------|-----|-----------|---------|
| $a_1$ | ... | $a_{k-1}$ | $a_k$ | $a_{k+1}$ | ... | $a_{R-1}$ | $\perp$ |
|-------|-----|-----------|-------|-----------|-----|-----------|---------|

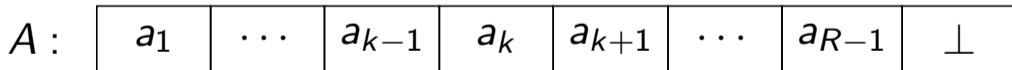
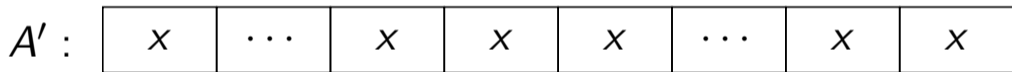
# Johnson vertex insertion

## 1. "Fan out" an input $x$



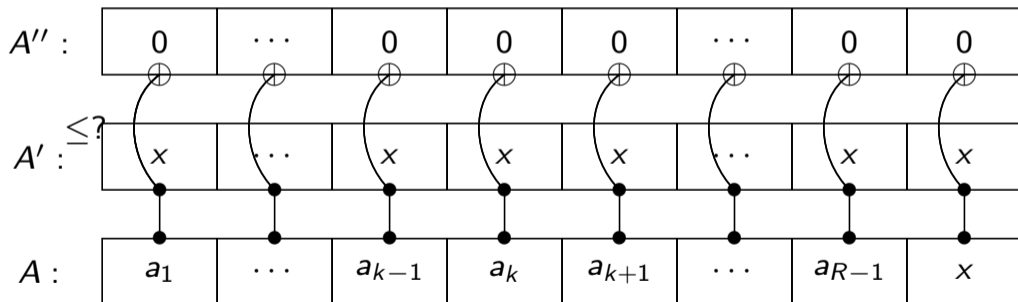
# Johnson vertex insertion

1. “Fan out” an input  $x$



# Johnson vertex insertion

## 2. Compare all elements simultaneously



# Johnson vertex insertion

2. Compare all elements simultaneously

$$A'' :$$

|   |     |   |   |   |     |   |   |
|---|-----|---|---|---|-----|---|---|
| 0 | ... | 0 | 1 | 1 | ... | 1 | 1 |
|---|-----|---|---|---|-----|---|---|

$$A' :$$

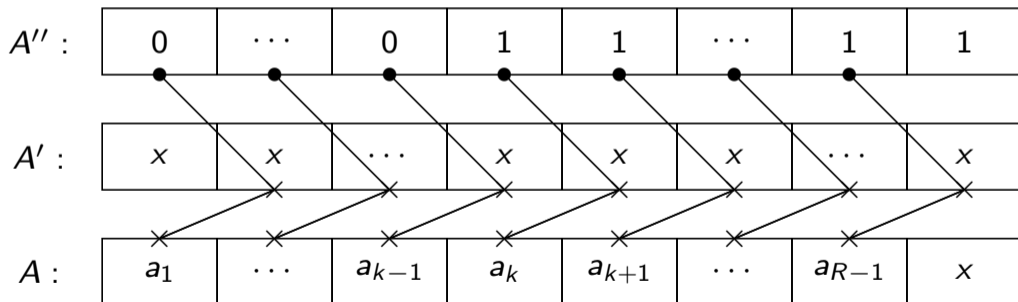
|   |     |   |   |   |     |   |   |
|---|-----|---|---|---|-----|---|---|
| x | ... | x | x | x | ... | x | x |
|---|-----|---|---|---|-----|---|---|

$$A :$$

|       |     |           |       |           |     |           |   |
|-------|-----|-----------|-------|-----------|-----|-----------|---|
| $a_1$ | ... | $a_{k-1}$ | $a_k$ | $a_{k+1}$ | ... | $a_{R-1}$ | x |
|-------|-----|-----------|-------|-----------|-----|-----------|---|

# Johnson vertex insertion

## 3. Conditionally swap “up”



# Johnson vertex insertion

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$$A'' :$$

|   |     |   |   |   |     |   |   |
|---|-----|---|---|---|-----|---|---|
| 0 | ... | 0 | 1 | 1 | ... | 1 | 1 |
|---|-----|---|---|---|-----|---|---|

$$A' :$$

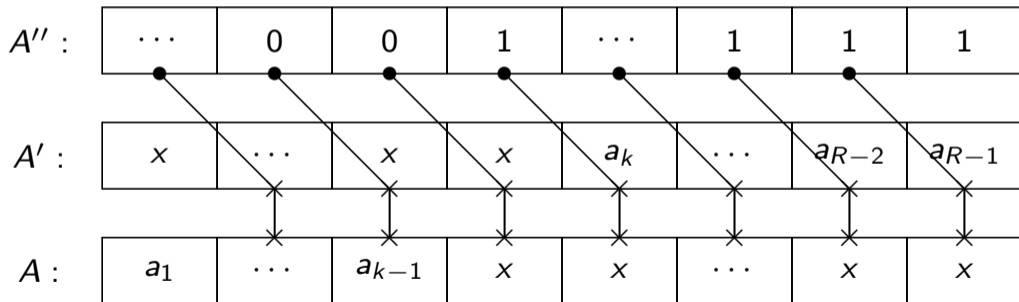
|     |     |     |     |       |     |           |           |
|-----|-----|-----|-----|-------|-----|-----------|-----------|
| $x$ | ... | $x$ | $x$ | $a_k$ | ... | $a_{R-2}$ | $a_{R-1}$ |
|-----|-----|-----|-----|-------|-----|-----------|-----------|

$$A :$$

|       |     |           |     |     |     |     |     |
|-------|-----|-----------|-----|-----|-----|-----|-----|
| $a_1$ | ... | $a_{k-1}$ | $x$ | $x$ | ... | $x$ | $x$ |
|-------|-----|-----------|-----|-----|-----|-----|-----|

## Johnson vertex insertion

## 4. Conditionally swap “down”





# Johnson vertex insertion

## 4. Conditionally swap “down”

$$A'' :$$

|     |   |   |   |     |   |   |   |
|-----|---|---|---|-----|---|---|---|
| ... | 0 | 0 | 1 | ... | 1 | 1 | 1 |
|-----|---|---|---|-----|---|---|---|

$$A' :$$

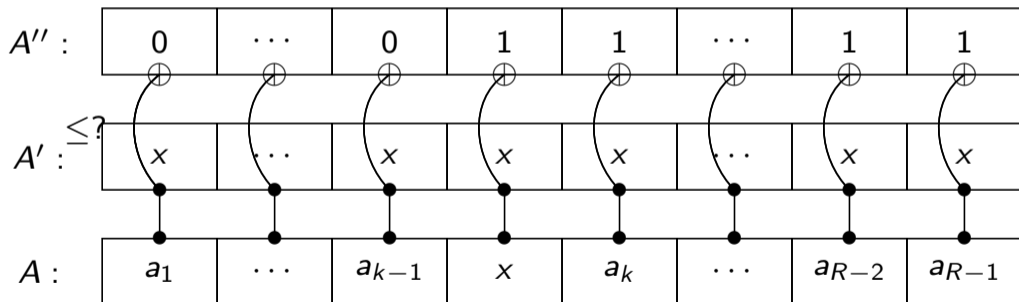
|   |     |   |   |   |     |   |   |
|---|-----|---|---|---|-----|---|---|
| x | ... | x | x | x | ... | x | x |
|---|-----|---|---|---|-----|---|---|

$$A :$$

|       |     |           |   |       |     |           |           |
|-------|-----|-----------|---|-------|-----|-----------|-----------|
| $a_1$ | ... | $a_{k-1}$ | x | $a_k$ | ... | $a_{R-2}$ | $a_{R-1}$ |
|-------|-----|-----------|---|-------|-----|-----------|-----------|

# Johnson vertex insertion

## 5. Clear comparison bit



# Johnson vertex insertion

## 5. Clear comparison bit

$$A'' :$$

|   |     |   |   |   |     |   |   |
|---|-----|---|---|---|-----|---|---|
| 0 | ... | 0 | 0 | 0 | ... | 0 | 0 |
|---|-----|---|---|---|-----|---|---|

$$A' :$$

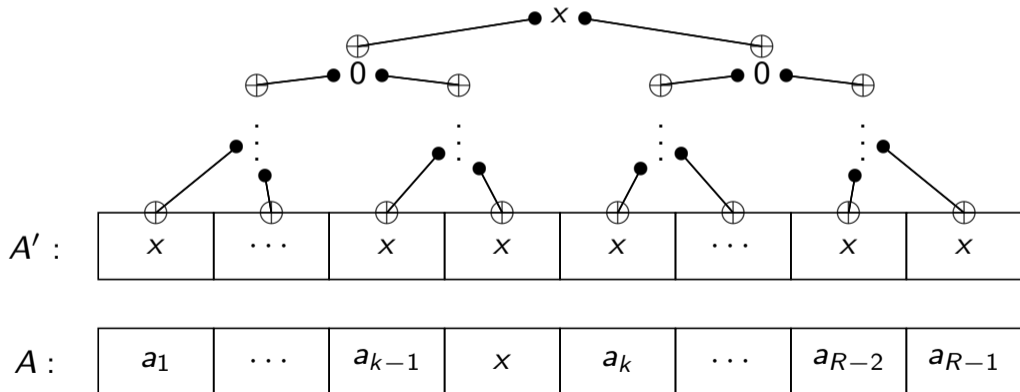
|   |     |   |   |   |     |   |   |
|---|-----|---|---|---|-----|---|---|
| x | ... | x | x | x | ... | x | x |
|---|-----|---|---|---|-----|---|---|

$$A :$$

|       |     |           |   |       |     |           |           |
|-------|-----|-----------|---|-------|-----|-----------|-----------|
| $a_1$ | ... | $a_{k-1}$ | x | $a_k$ | ... | $a_{R-2}$ | $a_{R-1}$ |
|-------|-----|-----------|---|-------|-----|-----------|-----------|

## Johnson vertex insertion

## 7. Clear fan-out



# Johnson vertex insertion

## 8. Insertion complete

$A'$  :

|   |     |   |   |   |     |   |   |
|---|-----|---|---|---|-----|---|---|
| 0 | ... | 0 | 0 | 0 | ... | 0 | 0 |
|---|-----|---|---|---|-----|---|---|

$A$  :

|       |     |           |     |       |     |           |           |
|-------|-----|-----------|-----|-------|-----|-----------|-----------|
| $a_1$ | ... | $a_{k-1}$ | $x$ | $a_k$ | ... | $a_{R-2}$ | $a_{R-1}$ |
|-------|-----|-----------|-----|-------|-----|-----------|-----------|

## Costs of Tani's algorithm for SIKE

Previous analyses focused on the  $p^{1/6}$  query cost of Tani's algorithm.

Using the Johnson vertex data structure, we find the SIKE secret at cost:

|                      | Gates          | Depth          | Width          | $DW$           |
|----------------------|----------------|----------------|----------------|----------------|
| Tani (query-optimal) | $p^{1/3+o(1)}$ | $p^{1/6+o(1)}$ | $p^{1/6+o(1)}$ | $p^{1/3+o(1)}$ |
|                      |                |                |                |                |

$$2^{434} \leq p \leq 2^{951}$$

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| Tani ( $G$ -optimal)  | $p^{1/4+o(1)}$ | $p^{1/4+o(1)}$ | $p^{o(1)}$     | $p^{1/4+o(1)}$ |
| Tani ( $DW$ -optimal) | $p^{1/4+o(1)}$ | $p^{1/4+o(1)}$ | $p^{o(1)}$     | $p^{1/4+o(1)}$ |
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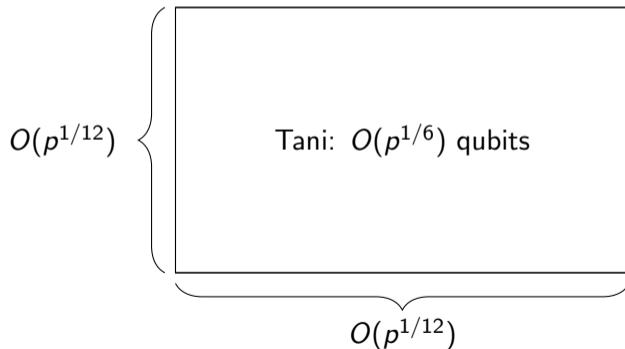
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| Tani ( $G$ -optimal)   | $p^{1/4+o(1)}$ | $p^{1/4+o(1)}$ | $p^{o(1)}$     | $p^{1/4+o(1)}$ |
| Tani ( $DW$ -optimal)  | $p^{1/4+o(1)}$ | $p^{1/4+o(1)}$ | $p^{o(1)}$     | $p^{1/4+o(1)}$ |
| Grover ( $G$ -optimal) | $p^{1/4+o(1)}$ | $p^{1/4+o(1)}$ | $p^{o(1)}$     | $p^{1/4+o(1)}$ |

$$2^{434} \leq p \leq 2^{951}$$



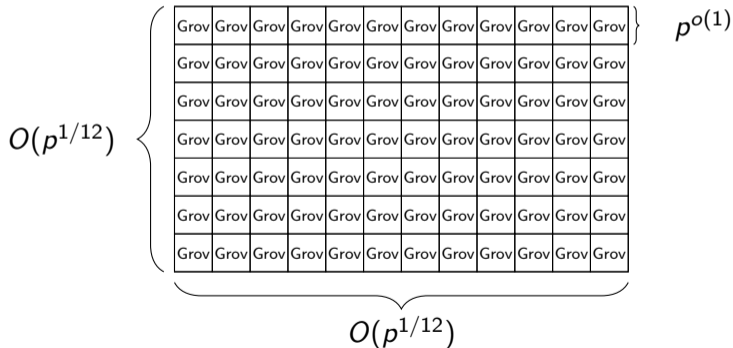
## Comparison with parallel Grover

The classical controller can apply gates to every qubit to run Tani's algorithm. It could instead group them together and run Grover's search algorithm.



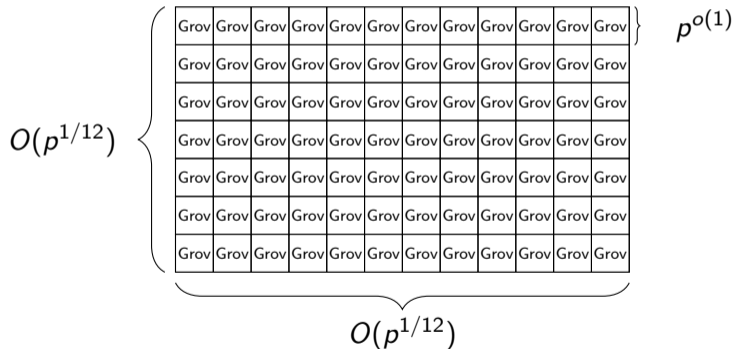
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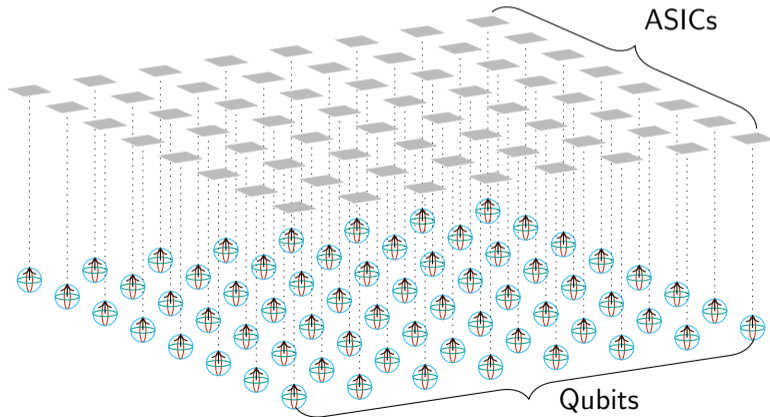
## Comparison with parallel Grover

$O(p^{1/6})$  copies of Grover finds isogeny in time  $O(p^{1/6})$ .



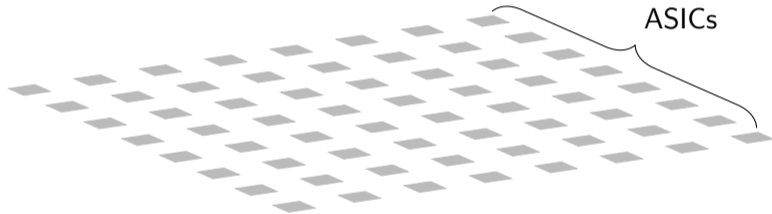
## Comparison with van Oorschot-Wiener

- Time/query-optimal Tani has  $O(p^{1/6})$  classical control processors.
- We could reprogram these to run van Oorschot-Wiener (VW)



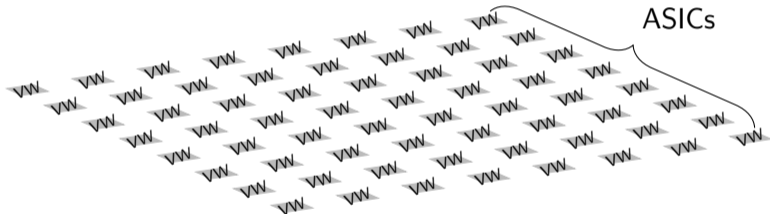
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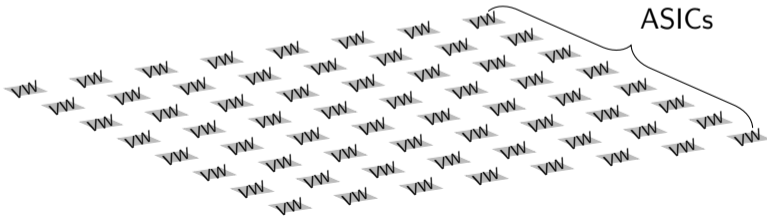


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### Conclusion

$O(p^{1/6})$  parallel instances of van Oorschot-Wiener find isogeny in time  $O(p^{1/8})$ , faster than the quantum algorithms.



## Memory peripheral framework

- 1 **Memory** is a physical system that changes over time
- 2 A **memory controller** interacts with a memory
- 3 The **cost** of a computation is the number of interactions

## Conclusions

- In a quantum computer, qubits are a peripheral of a classical computer.
- Quantum memory access has a linear gate cost.
- Active error correction gives cost to the identity gate.
- SIKE is more secure than previously thought.