

Quantum speedups for lattice sieves are tenuous at best

ePrint: [2019/1161](#)

Martin R. Albrecht, Vlad Gheorghiu,
Eamonn W. Postlethwaite, John M. Schanck

October 18, 2019

Not this talk:

The security of Kyber768.

Not this talk:

The security of Kyber768.

Not this talk:

The security of Kyber768.

The **lattice security** of Kyber768.

Not this talk:

The security of Kyber768.

The **lattice security** of Kyber768.

The **cost of BKZ-k for k that determines** the lattice security of Kyber768.

Not this talk:

The security of Kyber768.

The **lattice security** of Kyber768.

The **cost of BKZ-k for k that determines** the lattice security of Kyber768.

The **core-SVP estimate for** the lattice security of Kyber768.

Not this talk:

The security of Kyber768.

The **lattice security** of Kyber768.

The **cost of BKZ-k for k that determines** the lattice security of Kyber768.

The **core-SVP estimate for** the lattice security of Kyber768.

The **cost of the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

Not this talk:

The security of Kyber768.

The **lattice security** of Kyber768.

The **cost of BKZ-k for k that determines** the lattice security of Kyber768.

The **core-SVP estimate for** the lattice security of Kyber768.

The **cost of the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

The **heuristic cost of the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

Not this talk:

The security of Kyber768.

The **lattice security** of Kyber768.

The **cost of BKZ-k for k that determines** the lattice security of Kyber768.

The **core-SVP estimate for** the lattice security of Kyber768.

The **cost of the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

The **heuristic cost of the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

The **heuristic cost of one call to the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

Not this talk:

The security of Kyber768.

The **lattice security** of Kyber768.

The **cost of BKZ-k for k that determines** the lattice security of Kyber768.

The **core-SVP estimate for** the lattice security of Kyber768.

The **cost of the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

The **heuristic cost of the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

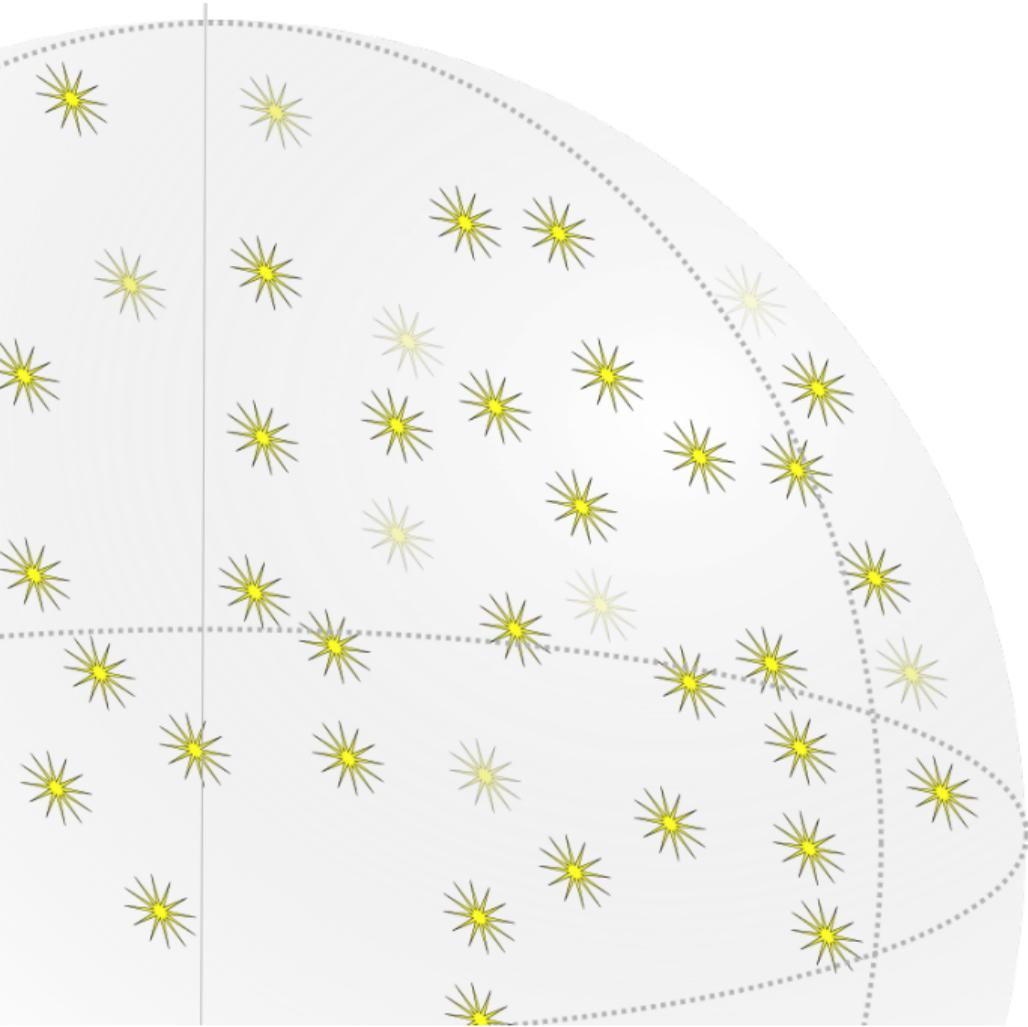
The **heuristic cost of one call to the sieving routine** inside the SVP solver used in the core-SVP estimate for the lattice security of Kyber768.

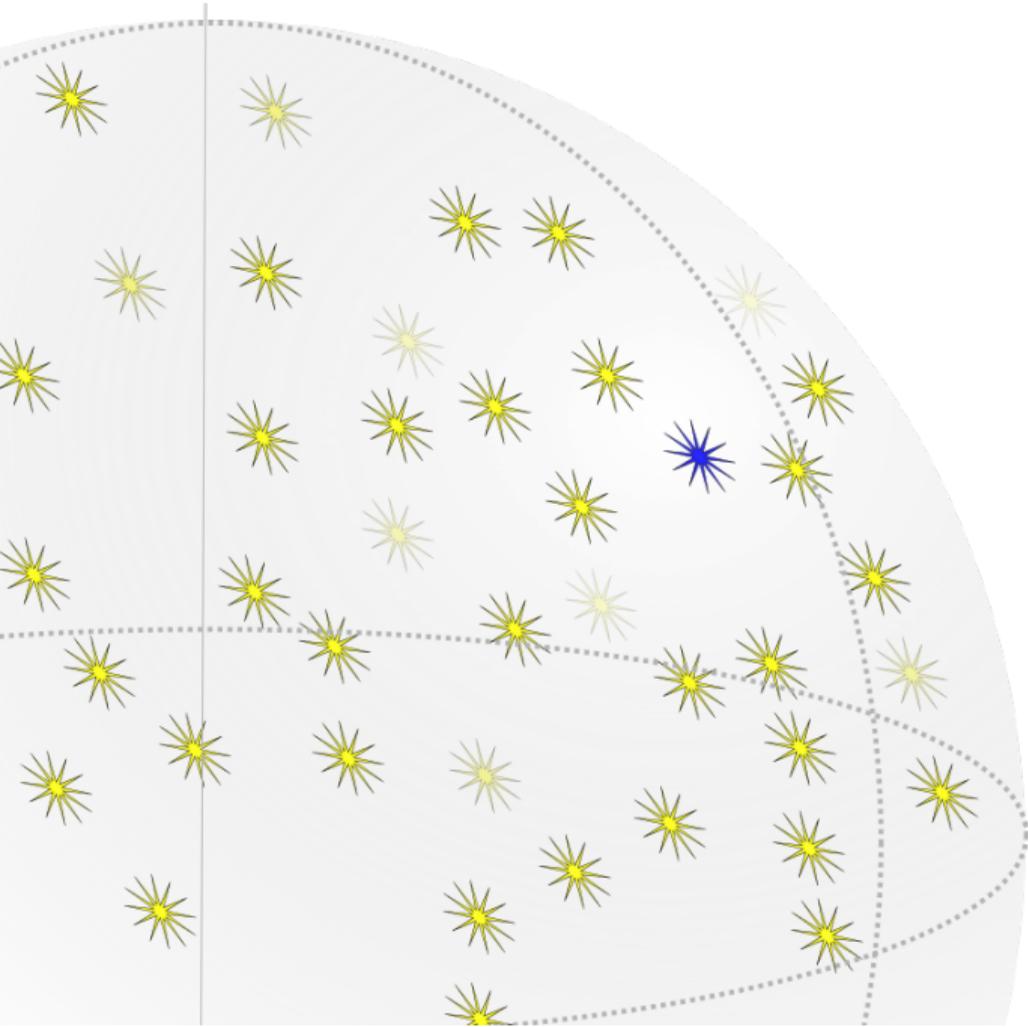
This talk:

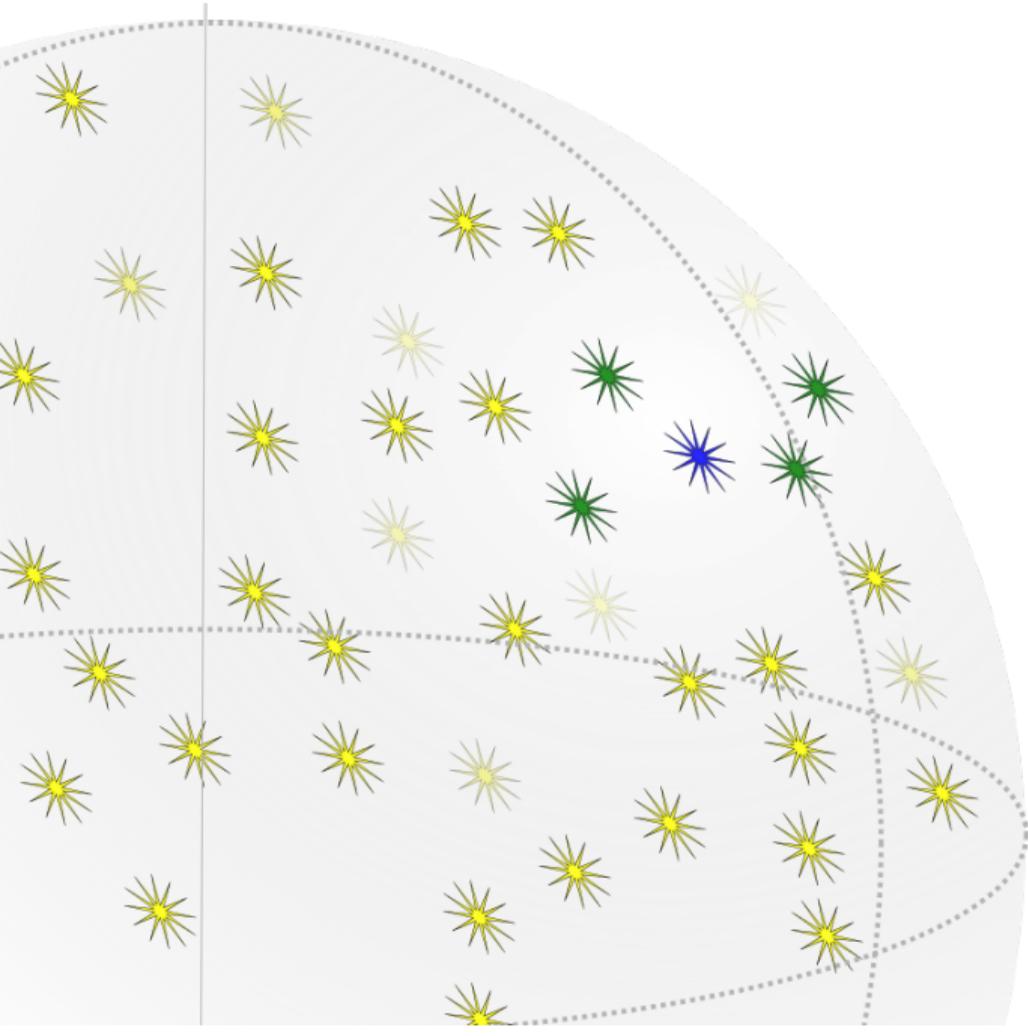
The heuristic cost—classical and quantum—of near neighbor search on spheres in dimension < 1000 .

Cost estimates and numerically optimized parameters for the heuristic NNS algorithms underlying:

- ▶ Nguyen–Vidick sieve
- ▶ bgj1, i.e. Becker–Gama–Joux sieve w/o recursion
- ▶ The Becker–Ducas–Gama–Laarhoven sieve







Near neighbor search

A near neighbor search algorithm takes a list of N points, pre-processes it to make neighbor queries more efficient.

I want to find points that are close to u in angular distance.

▶ Angular distance: $\theta(u, v) = \arccos\langle u, v \rangle$.

I want to do this for many different u .

Near neighbor search

A near neighbor search algorithm takes a list of N points, pre-processes it to make neighbor queries more efficient.

I want to find points that are close to u in angular distance.

▶ Angular distance: $\theta(u, v) = \arccos\langle u, v \rangle$.

I want to do this for many different u .

List-size preserving parameterization

Special case:

- ▶ Input consists of N uniformly random points.
- ▶ N large enough to ensure that there are N neighboring pairs.

Write $C_d(\theta)$ for the spherical measure of

$$\text{Cap}(u, \theta) = \{v : \theta(u, v) \leq \theta\}.$$

Then

$$N \approx \binom{N}{2} C_d(\theta),$$

equiv.

$$N \approx 2/C_d(\theta)$$

List-size preserving parameterization

Special case:

- ▶ Input consists of N uniformly random points.
- ▶ N large enough to ensure that there are N neighboring pairs.

Write $C_d(\theta)$ for the spherical measure of

$$\text{Cap}(u, \theta) = \{v : \theta(u, v) \leq \theta\}.$$

Then

$$N \approx \binom{N}{2} C_d(\theta),$$

equiv.

$$N \approx 2/C_d(\theta)$$

Algorithm: AllPairs / Nguyen–Vidick sieve

Input: list L of size N .

Search:

1. Number the points $v_1, v_2, v_3, \dots, v_N$
2. Test $\theta(v_i, v_j) \leq \theta$ for $1 \leq i < j \leq N$

Cost of AllPairs / Nguyen–Vidick sieve

List-size preserving case

Classical search

Nguyen–Vidick (2008): $(1/C_d(\theta))^{2+o(1)}$

$$(1/C_d(\pi/3))^{2+o(1)} = 2^{c(d)} \text{ where } c(d) = (0.4150\dots + o(1))d$$

Quantum search

Laarhoven–Mosca–van de Pol (2014): $(1/C_d(\theta))^{1.5+o(1)}$

$$(1/C_d(\pi/3))^{1.5+o(1)} = 2^{c(d)} \text{ where } c(d) = (0.3112\dots + o(1))d$$

Cost of AllPairs / Nguyen–Vidick sieve

List-size preserving case

Classical search

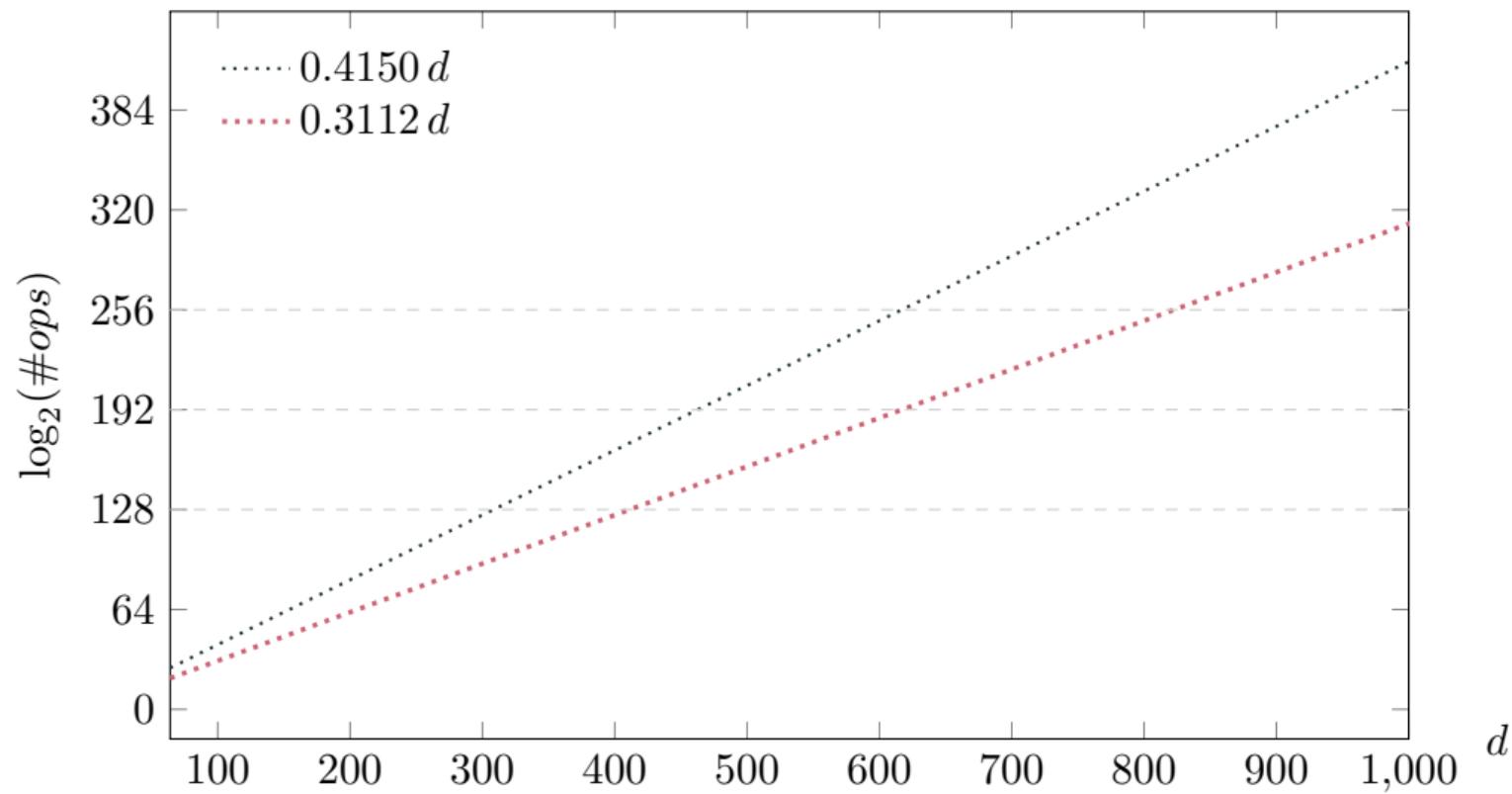
Nguyen–Vidick (2008): $(1/C_d(\theta))^{2+o(1)}$

$$(1/C_d(\pi/3))^{2+o(1)} = 2^{c(d)} \text{ where } c(d) = (0.4150\dots + o(1))d$$

Quantum search

Laarhoven–Mosca–van de Pol (2014): $(1/C_d(\theta))^{1.5+o(1)}$

$$(1/C_d(\pi/3))^{1.5+o(1)} = 2^{c(d)} \text{ where } c(d) = (0.3112\dots + o(1))d$$



Why care about the polynomial terms?

- ▶ Quantum and classical variants have *different* polynomial factors.
- ▶ Quantum advantage is small. Even smaller in more advanced algorithms.
- ▶ Polynomial factors are significant in low dimension.

Why care about the polynomial terms?

- ▶ Quantum and classical variants have *different* polynomial factors.
- ▶ Quantum advantage is small. Even smaller in more advanced algorithms.
- ▶ Polynomial factors are significant in low dimension.

Why care about the polynomial terms?

- ▶ Quantum and classical variants have *different* polynomial factors.
- ▶ Quantum advantage is small. Even smaller in more advanced algorithms.
- ▶ Polynomial factors are significant in low dimension.

Why care about the polynomial terms?

- ▶ Quantum and classical variants have *different* polynomial factors.
- ▶ Quantum advantage is small. Even smaller in more advanced algorithms.
- ▶ Polynomial factors are significant in low dimension.

What are the polynomial factors?

- ▶ Volume estimates.
- ▶ Cost of testing $\theta(u, v)$.

What are the polynomial factors?

- ▶ Volume estimates.
- ▶ Cost of testing $\theta(u, v)$.

Search predicates

- ▶ Search predicate on \mathcal{X} :

$$f : \mathcal{X} \rightarrow \{0, 1\}$$

- ▶ Kernel of f :

$$\text{Ker}(f) = \{x : f(x) = 0\}$$

$$|f| = |\text{Ker}(f)|$$

- ▶ Predicate $f \cap g$ defined by:

$$\text{Ker}(f \cap g) = \text{Ker}(f) \cap \text{Ker}(g)$$

Exhaustive search

$g(1)$ $g(2)$ $g(3)$ $g(4)$ $g(5)$ \dots \dots

Exhaustive search

1 g(2) g(3) g(4) g(5)

Exhaustive search

1 1 g(3) g(4) g(5)

Exhaustive search

1 1 1 g(4) g(5)

Exhaustive search

1 1 1 1 g(5)

Exhaustive search

1 1 1 1 1

Exhaustive search

1 1 1 1 1 ... g(57)

Exhaustive search

1 1 1 1 1 ... 0

Filtered search

$f(1)$	$f(2)$	$f(3)$	$f(4)$	$f(5)$	\dots	$f(57)$
$g(1)$	$g(2)$	$g(3)$	$g(4)$	$g(5)$	\dots	$g(57)$

Filtered search

1	1	f(3)	f(4)	f(5)	...	f(57)
g(1)	g(2)	g(3)	g(4)	g(5)	...	g(57)

Filtered search

1	1	1	1	f(5)	...	f(57)
g(1)	g(2)	g(3)	g(4)	g(5)	...	g(57)

Filtered search

1	1	1	1	1	...	f(57)
g(1)	g(2)	g(3)	g(4)	g(5)	...	g(57)

Filtered search

1	1	1	1	1	...	0
$g(1)$	$g(2)$	$g(3)$	$g(4)$	$g(5)$...	$g(57)$

Filtered search

1	1	1	1	1	...	0
$g(1)$	$g(2)$	$g(3)$	$g(4)$	$g(5)$...	0

Quantum search

For any predicate g and unitary \mathbf{A} , define the amplification operator:

$$\mathbf{G}(\mathbf{A}, g) := \mathbf{A}\mathbf{R}_0\mathbf{A}^\dagger\mathbf{R}_g$$

where

$$\mathbf{R}_0|x\rangle = \begin{cases} -|x\rangle & \text{if } x = 0 \\ |x\rangle & \text{otherwise} \end{cases}$$

$$\mathbf{R}_g|x\rangle = (-1)^{g(x)}|x\rangle.$$

Quantum search

Suppose that measuring $\mathbf{A} |0\rangle$ yields an element of $\text{Ker}(g)$ with probability p .

Grover–Brassard–Høyer–Mosca–Tapp:

- ▶ Measuring

$$\mathbf{G}(\mathbf{A}, g)^k \mathbf{A} |0\rangle$$

with $k \approx \sqrt{1/p}$ yields a root of g w.p. $\approx 1 \dots$

Boyer–Brassard–Høyer–Tapp:

- ▶ \dots even if p is not known.

Quantum search

Suppose that measuring $\mathbf{A} |0\rangle$ yields an element of $\text{Ker}(g)$ with probability p .

Grover–Brassard–Høyer–Mosca–Tapp:

- ▶ Measuring

$$\mathbf{G}(\mathbf{A}, g)^k \mathbf{A} |0\rangle$$

with $k \approx \sqrt{1/p}$ yields a root of g w.p. $\approx 1 \dots$

Boyer–Brassard–Høyer–Tapp:

- ▶ ... even if p is not known.

Quantum search

Suppose that measuring $\mathbf{A} |0\rangle$ yields an element of $\text{Ker}(g)$ with probability p .

Grover–Brassard–Høyer–Mosca–Tapp:

- ▶ Measuring

$$\mathbf{G}(\mathbf{A}, g)^k \mathbf{A} |0\rangle$$

with $k \approx \sqrt{1/p}$ yields a root of g w.p. $\approx 1 \dots$

Boyer–Brassard–Høyer–Tapp:

- ▶ \dots even if p is not known.

Filtered quantum search

Parameters m_1 and m_2 .

1. Sample j uniformly from $\{0, \dots, m_1 - 1\}$
2. Sample k uniformly from $\{0, \dots, m_2 - 1\}$
3. Define

$$\mathbf{A}_j = \mathbf{G}(\mathbf{D}, f)^j \mathbf{D}$$

$$\mathbf{B}_k = \mathbf{G}(\mathbf{A}_j, f \cap g)^k$$

4. Prepare and measure the state:

$$\mathbf{B}_k \mathbf{A}_j |0\rangle$$

Cost of filtered quantum search

Suppose that we know $P/\gamma \leq |g| \leq \gamma P$.

Proposition

We can choose m_1 and m_2 such that FilteredQuantumSearch finds a root of $f \cap g$ with probability at least $1/8$ and has a cost that is dominated by (approximately)

- ▶ $\gamma^{\frac{1}{2}}\sqrt{N}$ times the cost of $\mathbf{G}(g)$, or
- ▶ $\frac{4}{3}\sqrt{\gamma P}$ times the cost of $\mathbf{R}_{f \cap g}$.

Cost of filtered quantum search

Suppose that we know $P/\gamma \leq |g| \leq \gamma P$.

Proposition

We can choose m_1 and m_2 such that FilteredQuantumSearch finds a root of $f \cap g$ with probability at least $1/8$ and has a cost that is dominated by (approximately)

- ▶ $\gamma^{\frac{1}{2}}\sqrt{N}$ times the cost of $\mathbf{G}(g)$, or
- ▶ $\frac{4}{3}\sqrt{\gamma P}$ times the cost of $\mathbf{R}_{f \cap g}$.

Cost of filtered quantum search

Suppose that we know $P/\gamma \leq |g| \leq \gamma P$.

Proposition

We can choose m_1 and m_2 such that `FilteredQuantumSearch` finds a root of $f \cap g$ **with probability at least 1/8** and has a cost that is dominated by **(approximately)**

- ▶ $\gamma^{\frac{1}{2}}\sqrt{N}$ times the cost of $\mathbf{G}(g)$, or
- ▶ $\frac{4}{3}\sqrt{\gamma P}$ times the cost of $\mathbf{R}_{f \cap g}$.

Cost of filtered quantum search

Suppose that we know $P/\gamma \leq |g| \leq \gamma P$.

Idealized Proposition

We can choose m_1 and m_2 such that FilteredQuantumSearch finds a root of $f \cap g$ and has a cost that is dominated by

- ▶ $\frac{1}{2}\sqrt{N}$ times the cost of $\mathbf{G}(g)$, or
- ▶ $\frac{4}{3}\sqrt{P}$ times the cost of $\mathbf{R}_{f \cap g}$.

Algorithm: AllPairs / Nguyen–Vidick sieve

Input: list L of size N

1. Number the points $v_1, v_2, v_3, \dots, v_N$
2. For $i = 1, \dots, N$
3. For $j = i + 1, \dots, N$
4. Test $g_i(v_j)$ where $g_i(v_j) = [\theta(v_i, v_j) > \pi/3]$.

Algorithm: AllPairs / Nguyen–Vidick sieve

Input: list L of size N

1. Number the points $v_1, v_2, v_3, \dots, v_N$
2. For $i = 1, \dots, N$
3. For $j = i + 1, \dots, N$
4. **If** $f_i(v_j)$ **then** test $g_i(v_j)$ where $g_i(v_j) = [\theta(v_i, v_j) > \pi/3]$.

Algorithm: AllPairs / Nguyen–Vidick sieve

Input: list L of size N

1. Number the points $v_1, v_2, v_3, \dots, v_N$
2. For $i = 1, \dots, N$
3. For $j = i + 1, \dots, N$
4. **If $f_i(v_j)$ then** test $g_i(v_j)$ where $g_i(v_j) = [\theta(v_i, v_j) > \pi/3]$.

What to use for f_i in a filtered search?

XOR + population count

Define a hash function family:

$$\mathcal{H} = \{u \mapsto \text{sgn}(\langle r, u \rangle) : r \in \mathcal{S}\}$$

XOR + population count

Fact:
$$\Pr_{h \leftarrow \mathcal{H}} [h(u) \neq h(v)] = \frac{\theta(u, v)}{\pi}.$$

Let $H_n(x) = (h_1(x), \dots, h_n(x))$ for random $h_i \in \mathcal{H}$.

For large n , we have

$$\frac{\text{HammingWeight}(H_n(u) \oplus H_n(v))}{n} \approx \frac{\theta(u, v)}{\pi}$$

XOR + population count

Fact:
$$\Pr_{h \leftarrow \mathcal{H}} [h(u) \neq h(v)] = \frac{\theta(u, v)}{\pi}.$$

Let $H_n(x) = (h_1(x), \dots, h_n(x))$ for random $h_i \in \mathcal{H}$.

For large n , we have

$$\frac{\text{HammingWeight}(H_n(u) \oplus H_n(v))}{n} \approx \frac{\theta(u, v)}{\pi}$$

XOR + population count

Fact:
$$\Pr_{h \leftarrow \mathcal{H}} [h(u) \neq h(v)] = \frac{\theta(u, v)}{\pi}.$$

Let $H_n(x) = (h_1(x), \dots, h_n(x))$ for random $h_i \in \mathcal{H}$.

For large n , we have

$$\frac{\text{HammingWeight}(H_n(u) \oplus H_n(v))}{n} \approx \frac{\theta(u, v)}{\pi}$$

XOR + population count

Used as a filter in implementations of sieving algorithms:

- ▶ 2014 Fitzpatrick–Bischof–Buchmann–Dagdelen–Göpfert–Mariano–Yang
- ▶ 2018 Ducas
- ▶ 2019 Albrecht–Ducas–Herold–Kirshanova–Postlethwaite–Stevens

Earlier algorithmic use

- ▶ 1995 Goemans–Williamson
- ▶ 2002 Charikar

Algorithm: AllPairs / Nguyen–Vidick sieve

Input: list L of size N

Setup:

1. Fix H_n
2. Construct a table
 $(i, H_n(v_i))$

Search:

For all i :

1. Load $H_n(v_i)$
2. For $j = i + 1, \dots, N$
3. Load $H_n(v_j)$
4. If $\text{HammingWt}(H_n(v_i) \oplus H_n(v_j)) \leq k$
5. Test $\theta(v_i, v_j) \leq \theta$.

This work: New python/mpmath package

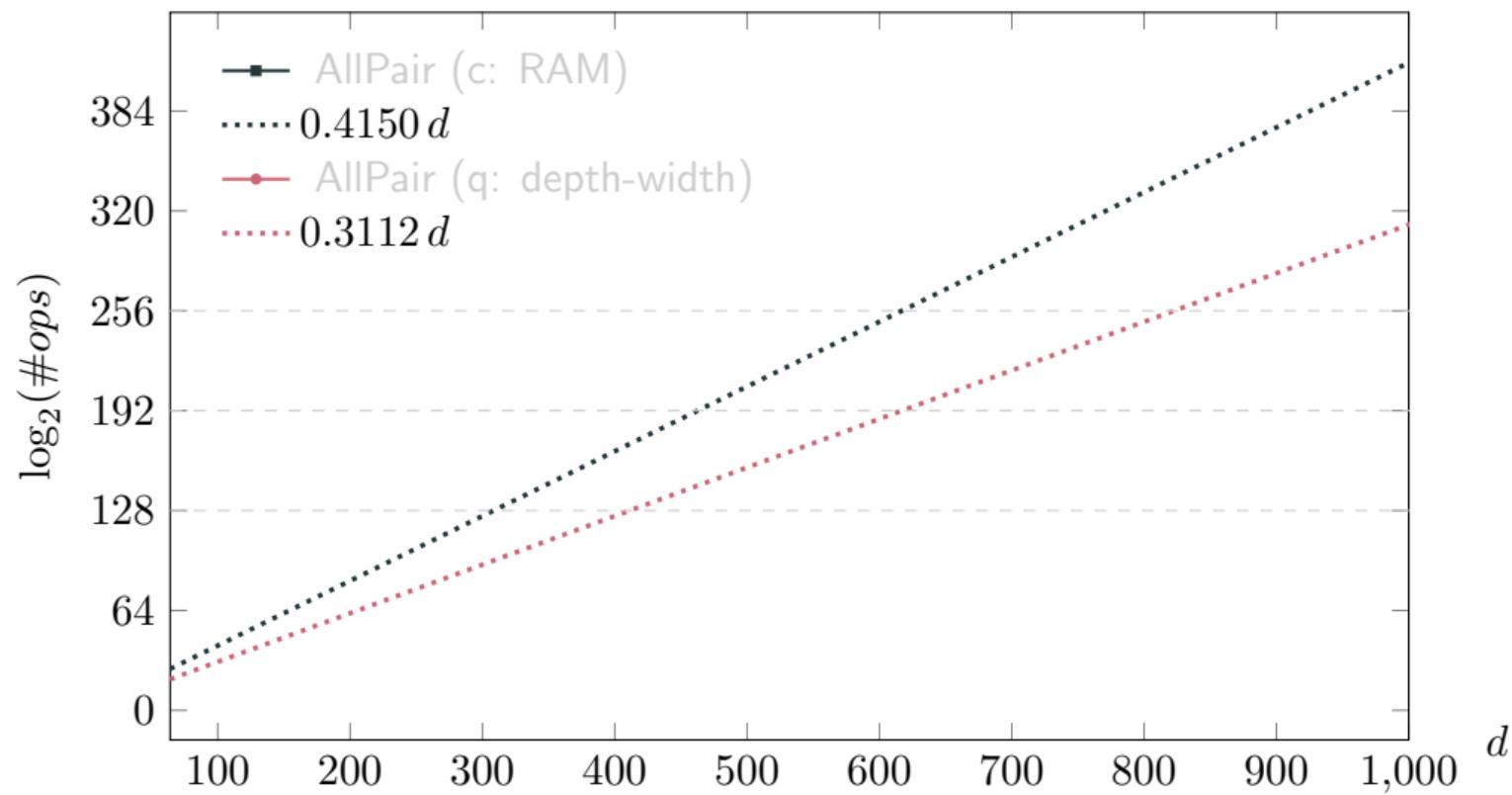
Calculates the circuit depth, width, gate count (etc.) for popcount and filtered quantum search subroutines.

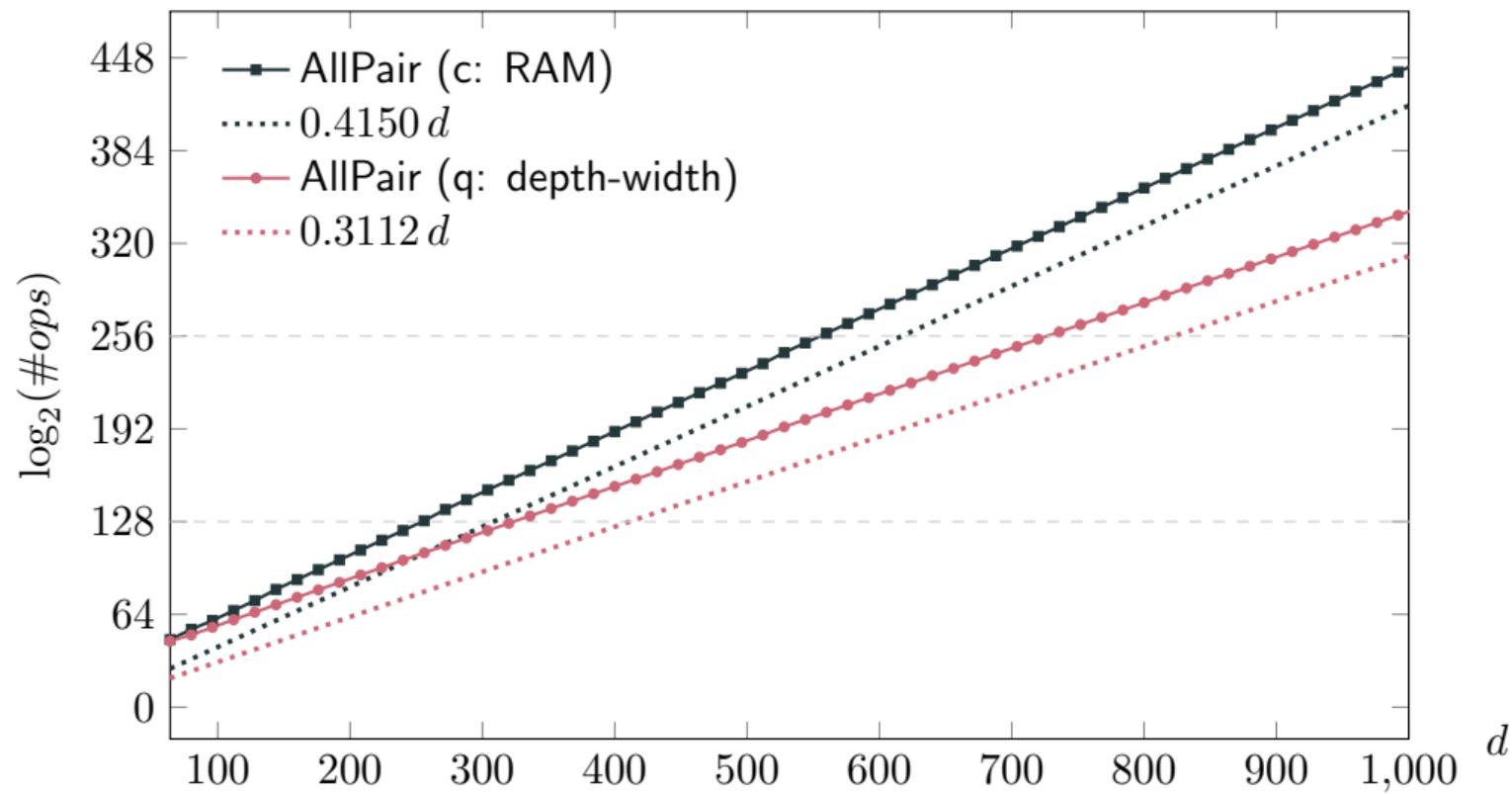
Calculates the accuracy of random popcount filters given

- ▶ points uniformly distributed on sphere;
- ▶ points uniformly distributed in a cap of angle β .

Calculates the (normalized) spherical measure of

- ▶ caps, using ${}_2F_1$ representation of $C_d(\theta)$
- ▶ intersections of caps, using an integral representation.





Error correction

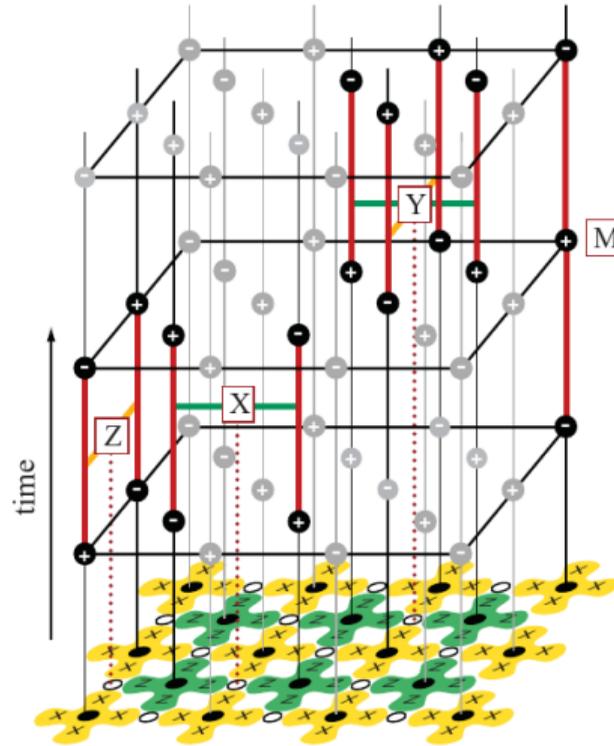
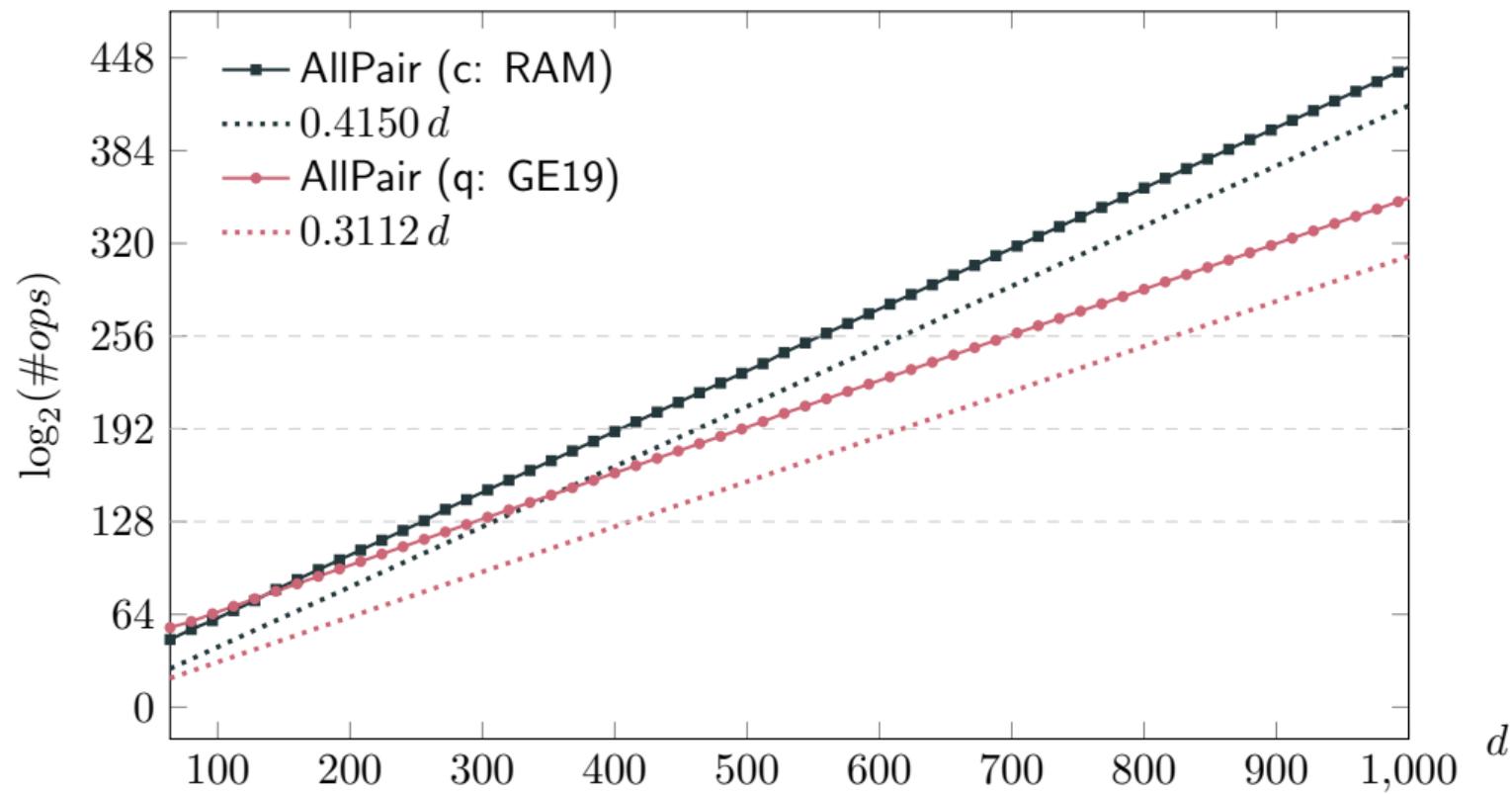


Image: Fowler, Mariantoni, Martinis, Cleland. (2012)

Error correction

We consider the added cost of *reading* syndromes, but not processing them.

(Under the same physical assumptions as Gidney–Ekerä (2019))



Algorithm: RandomBucketSearch / bgj1

Parameters: t, θ_1

Input: list L of size N

Search:

1. Repeat t times:
2. Pick a random point f .
3. Run AllPairs on
 $L_f = L \cap \text{Cap}(f, \theta_1)$.

Note: Optimal choice of t and θ_1 is based on volume of the intersection of caps of angle θ_1 with centers at distance $\pi/3$.

Cost of RandomBucketSearch

List-size preserving case

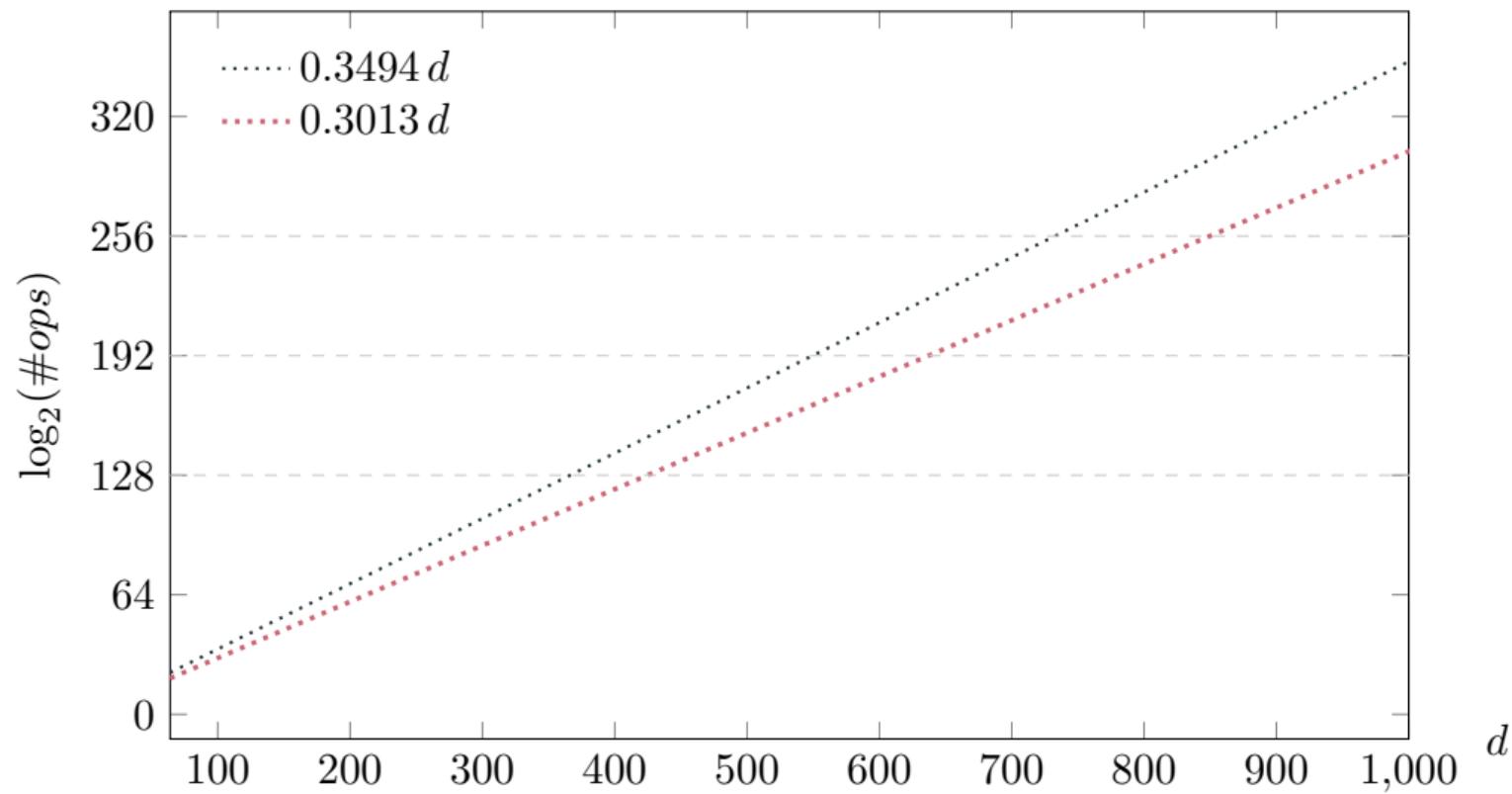
Classical search

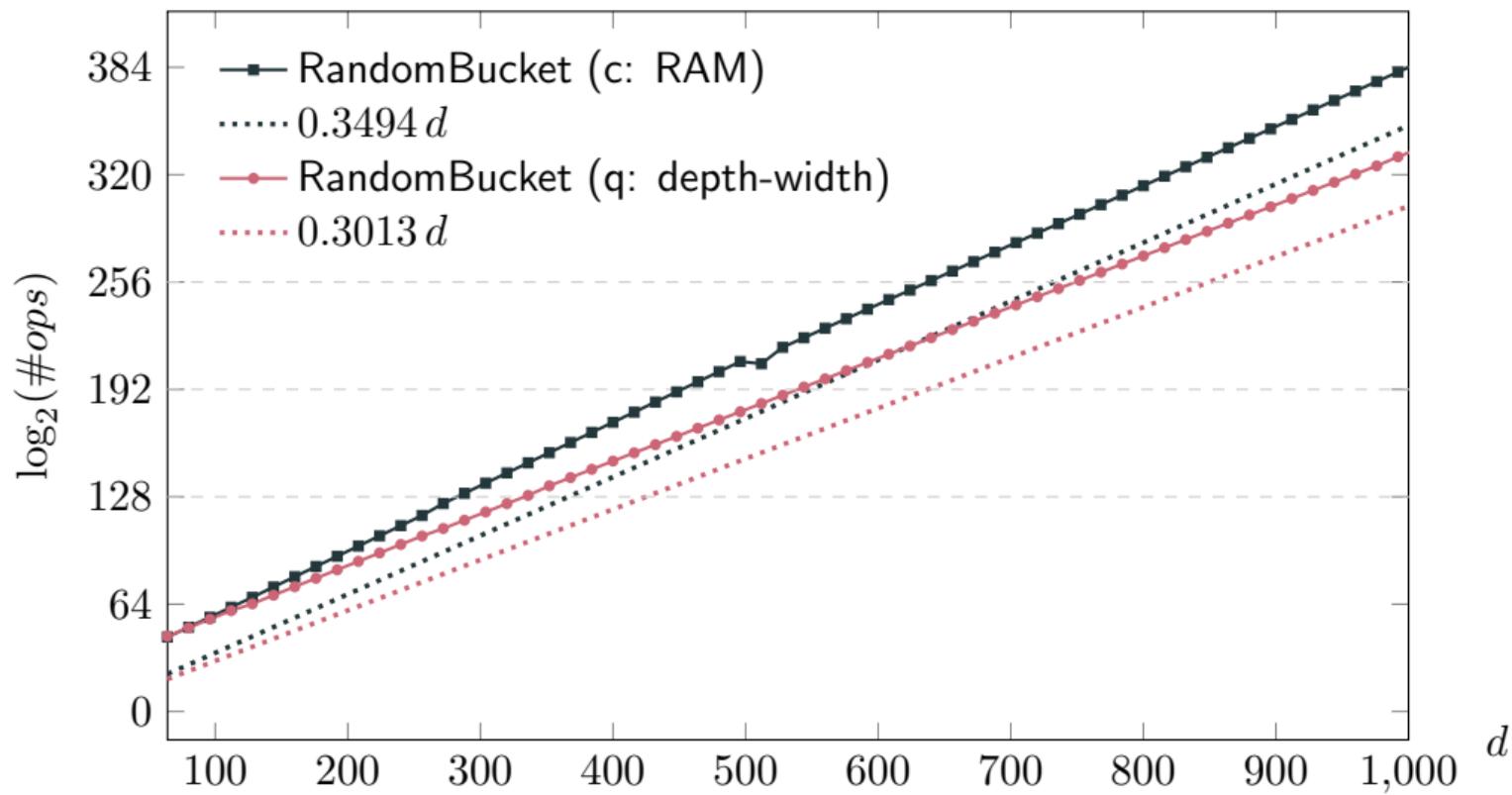
Albrecht–Ducas–Herold–Kirshanova–Postlethwaite–Stevens

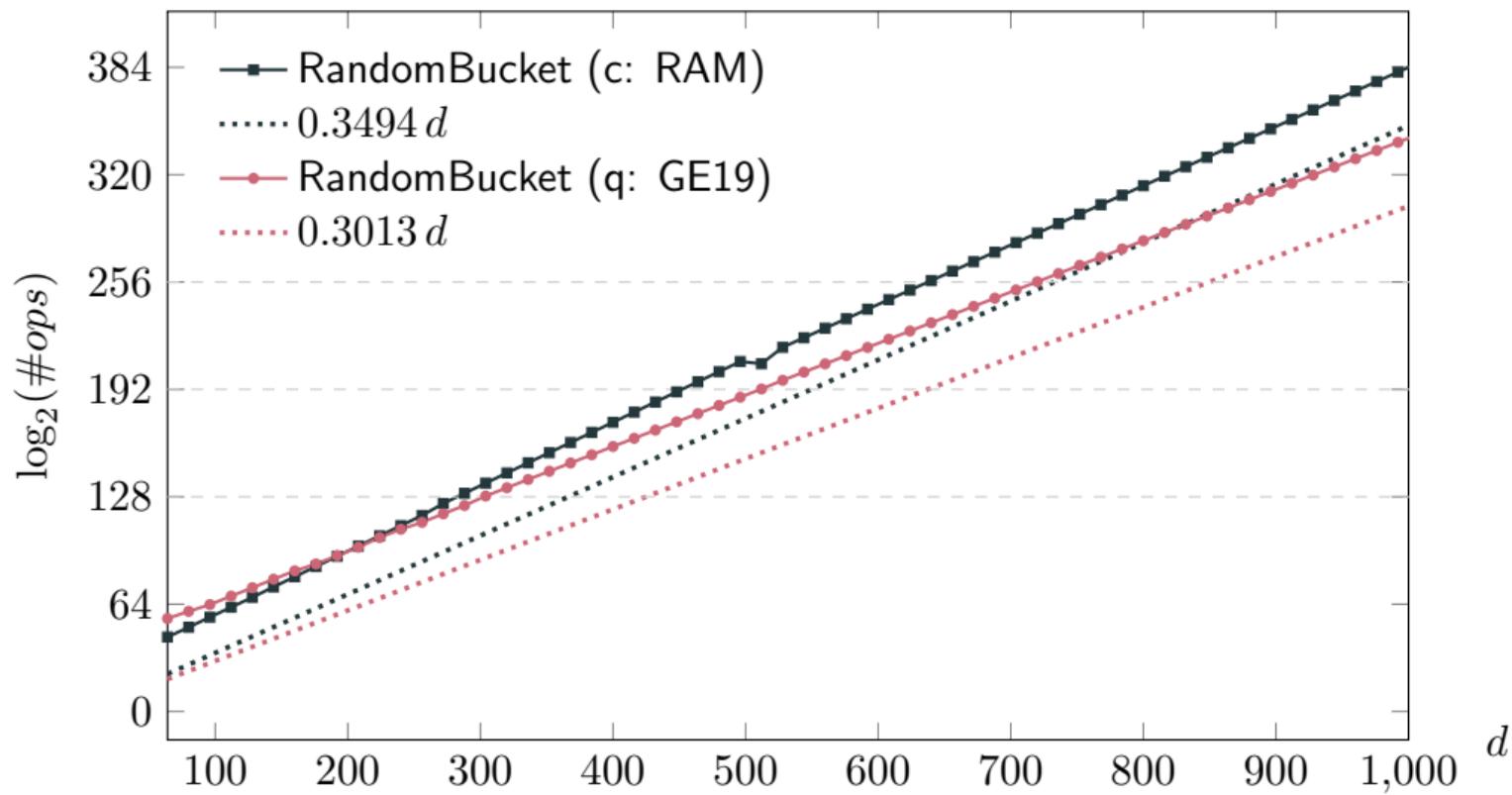
$$2^{c(d)} \text{ where } c(d) = (0.3494\dots + o(1))d$$

Quantum search

$$2^{c(d)} \text{ where } c(d) = (0.3013\dots + o(1))d$$







Algorithm: ListDecodingSearch / BDGL

Parameters: t, θ_1, θ_2

Input: list L of size N

Setup:

Pick a set of t random points F

Initialize t buckets $\{L_f : f \in F\}$

Fill:

1. For each v in L
2. insert v into L_f if
 $f \in \text{Cap}(v, \theta_2)$

Query:

1. For each v in L
2. $F_i = F \cap \text{Cap}(v, \theta_1)$
3. Run AllPairs on
 $L_F = \coprod \{L_f : f \in F_i\}$.

Cost of ListDecodingSearch / BDGL

Classical search

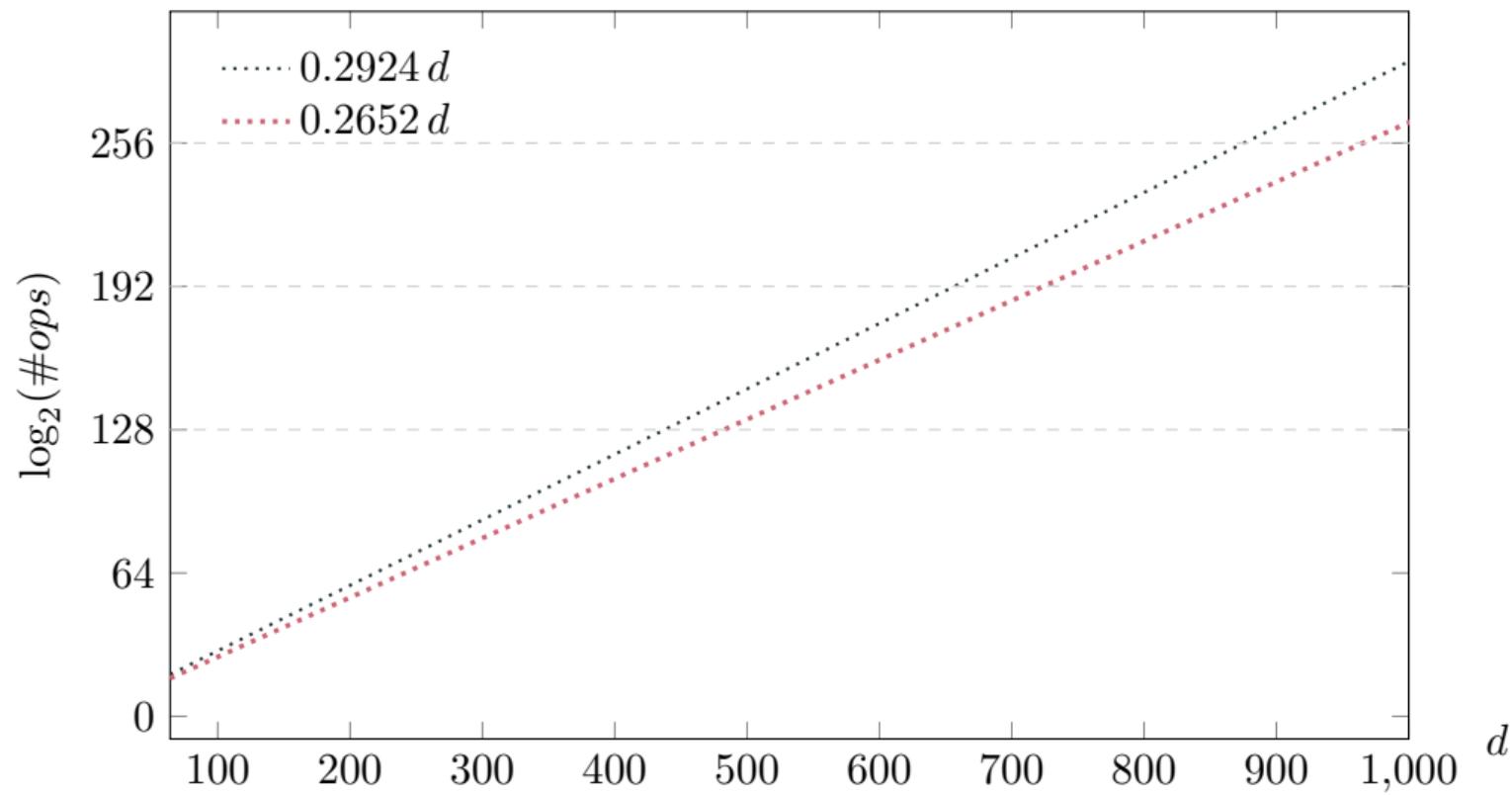
Becker–Ducas–Gama–Laarhoven:

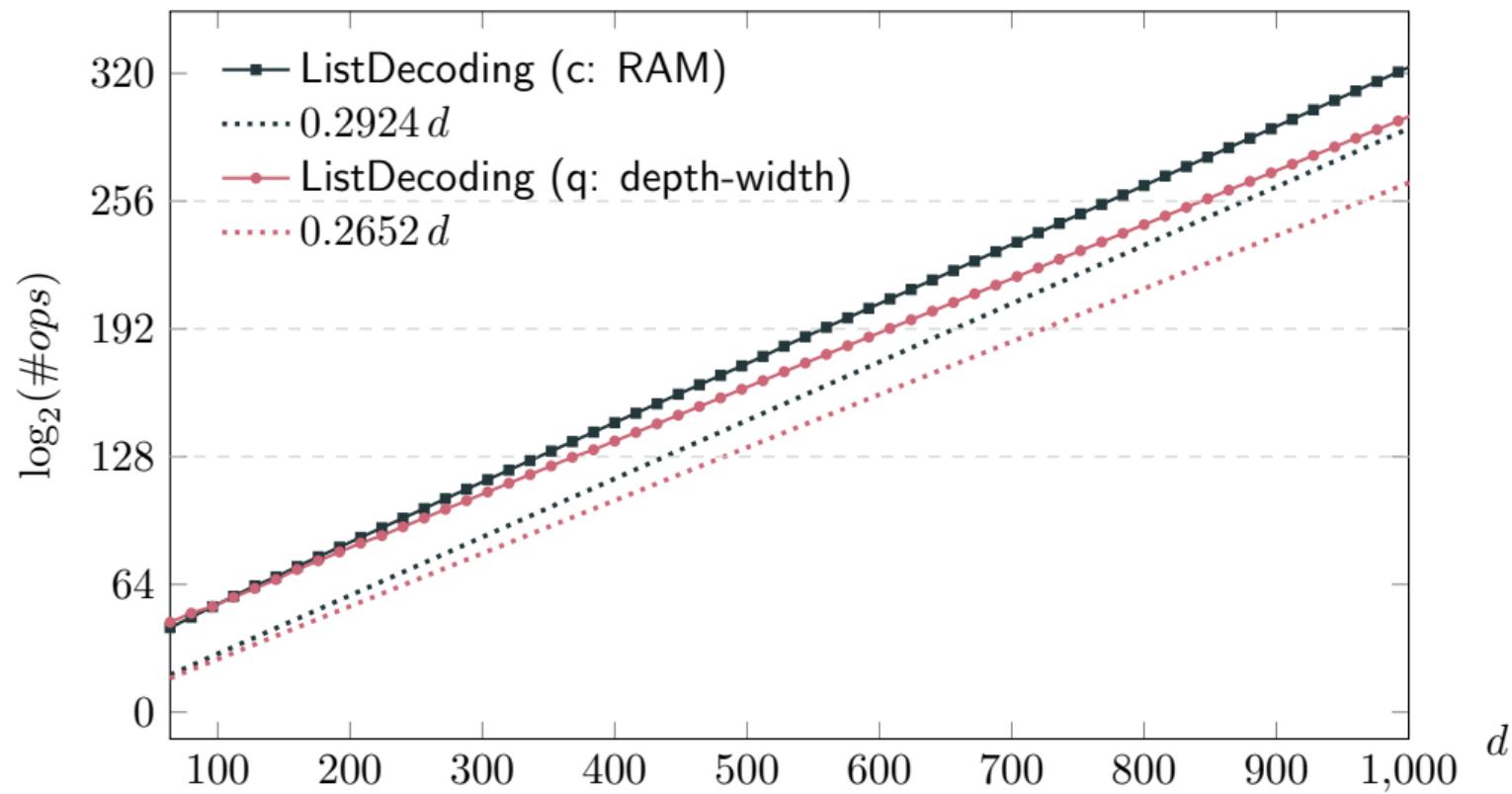
$$2^{c(d)} \text{ where } c(d) = (0.2924\dots + o(1))d$$

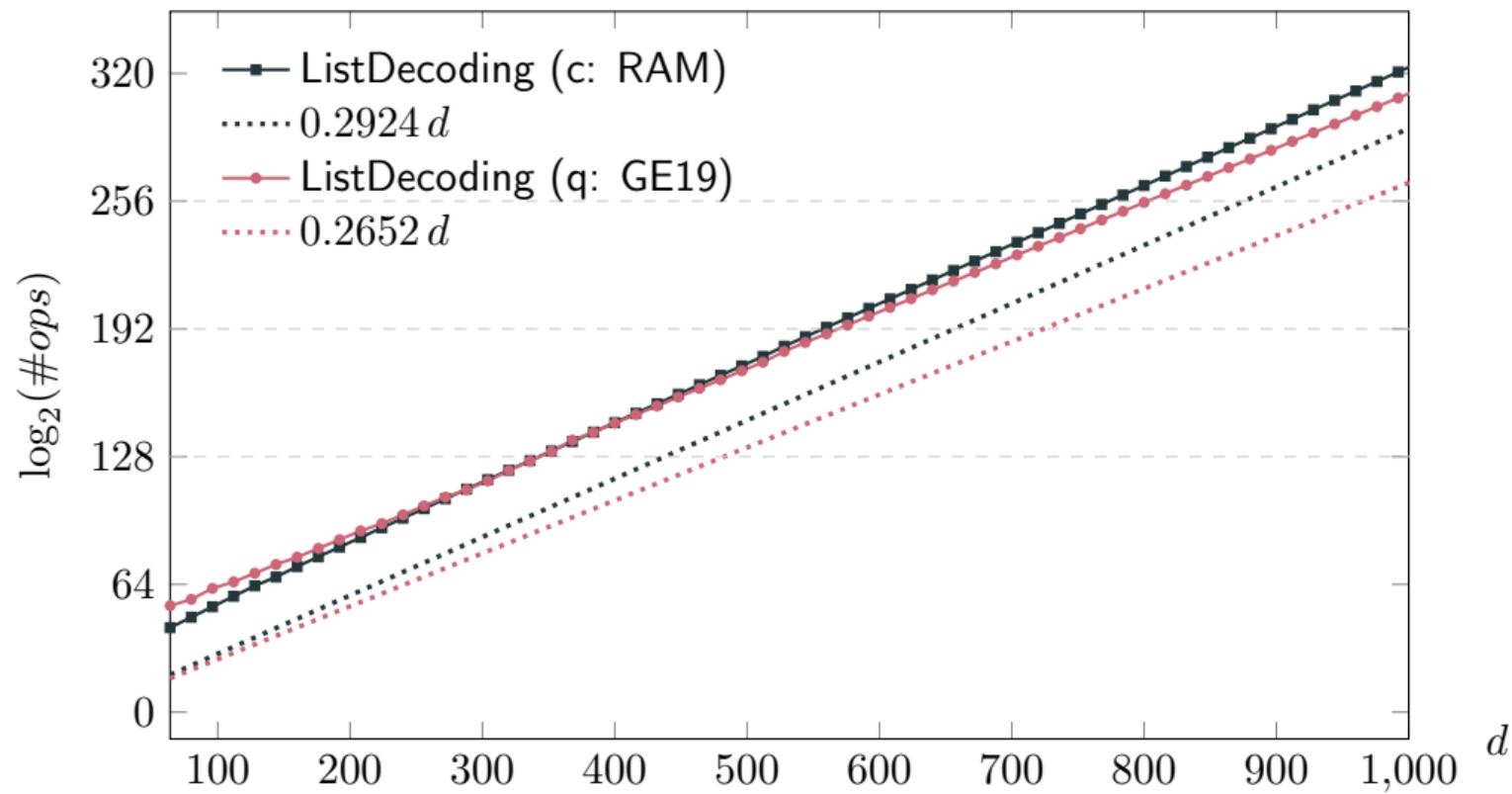
Quantum search

Laarhoven:

$$2^{c(d)} \text{ where } c(d) = (0.2652\dots + o(1))d$$







Barriers to a quantum speedup

qRAM

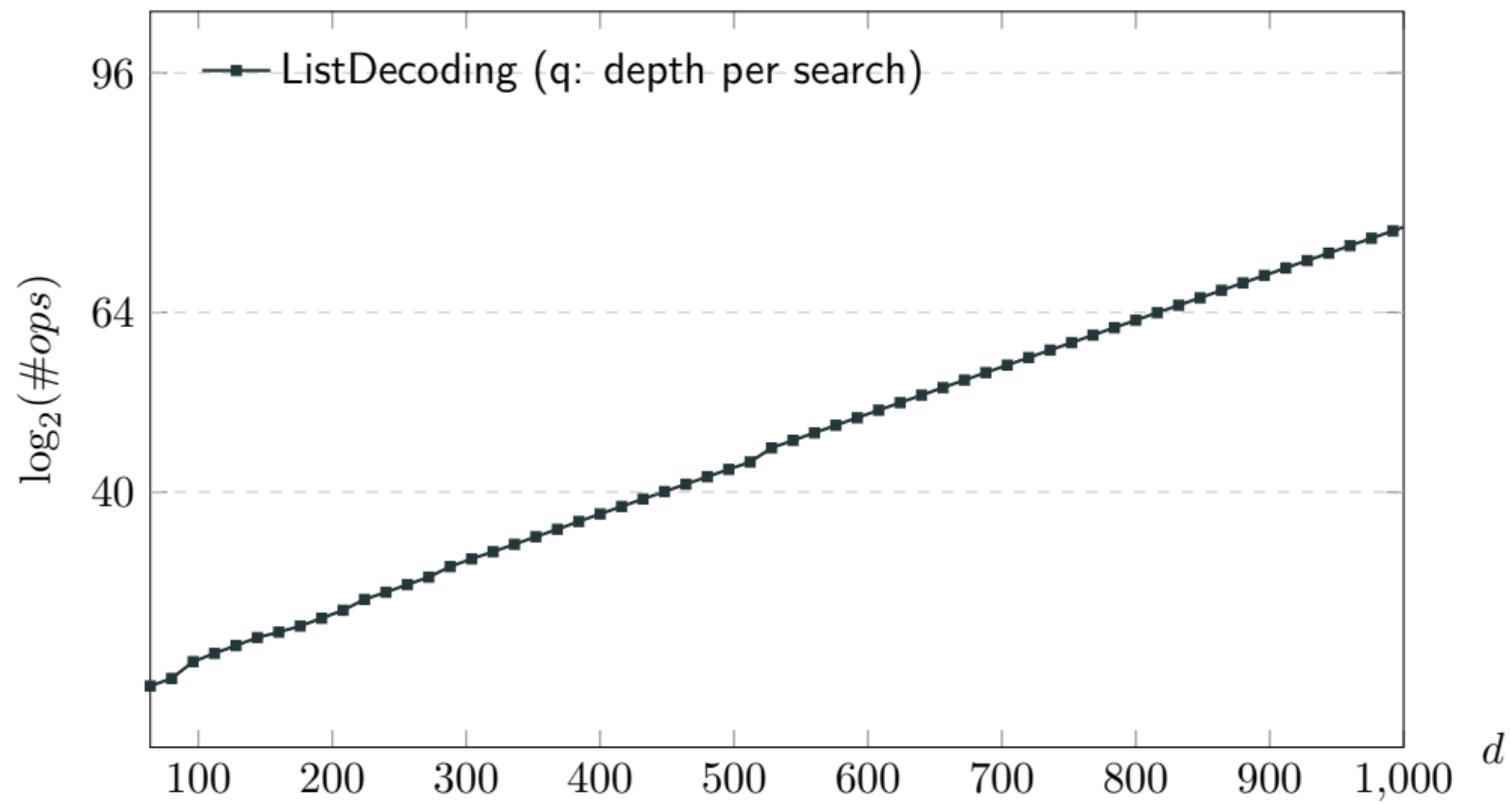
- ▶ Known constructions have some cost that grows like $N^{O(1)}$.
- ▶ qRAM computations are not necessarily “localizable”.

Error correction overhead

- ▶ Cost of processing syndromes
- ▶ Cost of state distillation
- ▶ Locality constraints introduced by code
- ▶ Probability of failure from logical errors

Barriers to a quantum speedup

Poor parallelization



Barriers to a quantum speedup

Cost underestimates

- ▶ “Idealized proposition”:

$$P/\gamma \leq |g| \leq \gamma P; \quad \Pr[\text{success}] \geq 1/8.$$

- ▶ Use of $\mathbf{G}(\mathbf{H}, f)$.

“Run AllPairs on $L_F = \coprod \{B_f : f \in F_i\}$.”

